



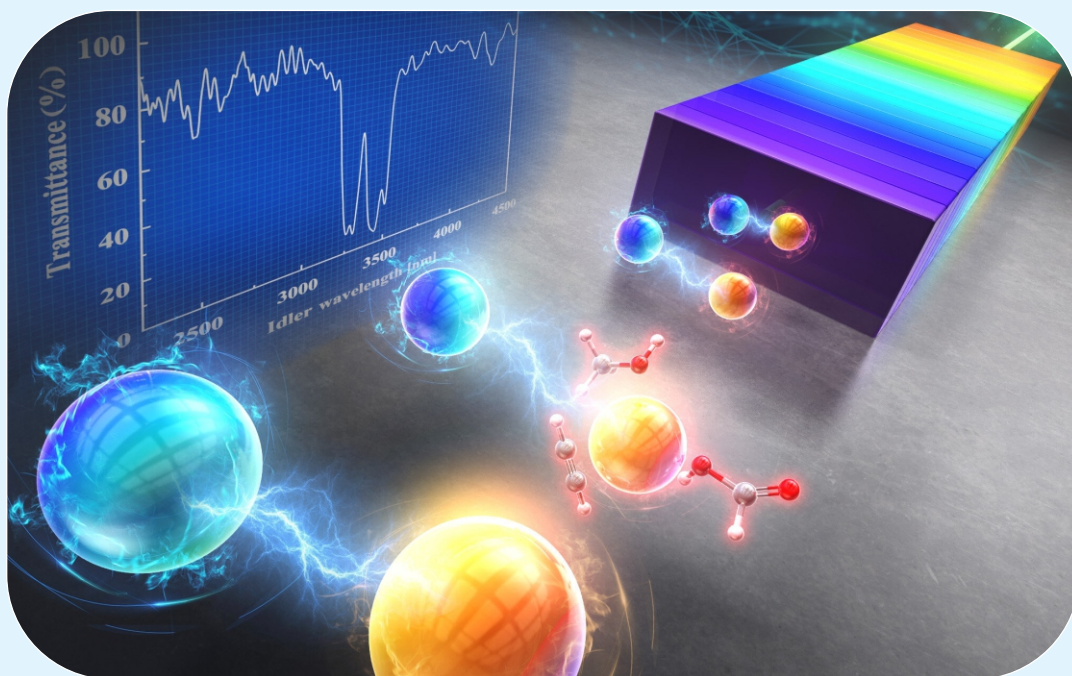
**MATS**  
UNIVERSITY

NAAC  
GRADE **A<sup>+</sup>**  
ACCREDITED UNIVERSITY

# MATS CENTRE FOR OPEN & DISTANCE EDUCATION

## Spectroscopy II

Master of Science  
Semester - 2



**SELF LEARNING MATERIAL**

**SPECTROSCOPY - II**  
**CODE: ODL/MSS/MSCH/204**

**CC10**

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## CHAPTER INTRODUCTION

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Course has five chapters. Under this theme we have covered the following topics:

| S. No     | Module No        | Unit No                                                                   |
|-----------|------------------|---------------------------------------------------------------------------|
| <b>01</b> | <b>Module 01</b> | <b>Advanced Spectroscopy Techniques</b>                                   |
|           | Unit 1.1         | Carbon-13 Nuclear Magnetic Resonance ( $^{13}\text{C}$ -NMR) Spectroscopy |
|           | Unit 1.2         | Nuclear Quadruple Resonance (NQR) Spectroscopy                            |
|           | Unit 1.3         | Electron Spin Resonance (ESR) Spectroscopy                                |
|           | Unit 1.4         | Application of ESR                                                        |
| <b>02</b> | <b>Module 02</b> | <b>Mass spectroscopy and X-Ray Diffraction</b>                            |
|           | Unit 2.1         | Mass spectroscopy                                                         |
|           | Unit 2.2         | X-Ray Diffraction (XRD)                                                   |
|           | Unit 2.3         | Application of XRD                                                        |
| <b>03</b> | <b>Module 03</b> | <b>Electron, Neutron Spectroscopy</b>                                     |
|           | Unit 3.1         | Electron Diffraction                                                      |
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|           | Unit 3.3         | Mossbauer Spectroscopy                                                    |
|           | Unit 3.4         | Mossbauer Spectroscopy's applications                                     |
| <b>04</b> | <b>Module 04</b> | <b>Atomic Spectroscopy</b>                                                |
|           | Unit 4.1         | Atomic Absorption Spectroscopy (AAS)                                      |
|           | Unit 4.2         | Atomic Emission Spectroscopy (AES)                                        |
|           | Unit 4.3         | Plasma and Flame Emission Spectroscopy                                    |
|           | Unit 4.4         | Application of flame and plasma Emission                                  |
| <b>05</b> | <b>Module 05</b> | <b>Symmetry and Group Theory</b>                                          |
|           | Unit 5.1         | Symmetry Elements and Operations                                          |
|           | Unit 5.2         | Group Theory and Its Fundamentals                                         |
|           | Unit 5.3         | Point Symmetry Groups                                                     |
|           | Unit 5.4         | Character tables and their application                                    |

This book aims to provide a comprehensive understanding of thermodynamics, starting with classical principles and extending to statistical and non-equilibrium approaches, enabling students to analyze energy transformations and system behavior at macroscopic and microscopic levels. Building upon this foundation, the course delves into electrostatics and electrochemistry, exploring the fundamental principles governing electrochemical reactions, electrode interfaces, and their applications in various electrochemical cells and processes. Finally, the course introduces the concepts of surface chemistry and micelles, focusing on interfacial phenomena, adsorption, surface tension, and the self-assembly of amphiphilic molecules, highlighting their crucial roles in diverse chemical and biological systems.

# Module 01

## Advanced Spectroscopy Techniques



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### Unit 1.1

## Carbon-13 Nuclear Magnetic Resonance ( $^{13}\text{C}$ -NMR) Spectroscopy

### Objectives

After studying this topic, students should be able to:

1. Understand the principle of  $^{13}\text{C}$ -NMR spectroscopy and its importance in structural elucidation.
2. Differentiate between  $^1\text{H}$ -NMR and  $^{13}\text{C}$ -NMR spectroscopy in terms of sensitivity, resolution, and information provided.
3. Explain the concepts of chemical shift, spin-spin coupling, and relaxation in  $^{13}\text{C}$  spectra.
4. Interpret  $^{13}\text{C}$ -NMR spectra to determine the types of carbon environments present in organic molecules.

### 1.1.1 Introduction

Carbon-13 Nuclear Magnetic Resonance ( $^{13}\text{C}$ -NMR) spectroscopy is one of the most powerful analytical techniques used in organic chemistry to determine the structure of carbon-containing compounds. Unlike proton NMR ( $^1\text{H}$ -NMR), which deals with the magnetic properties of hydrogen atoms,  $^{13}\text{C}$ -NMR specifically focuses on the carbon-13 isotope. Since carbon is the backbone of organic molecules, understanding its environment through this method offers detailed structural insights. This essay explores the basic principles, instrumentation, applications, advantages, and limitations of  $^{13}\text{C}$ -NMR spectroscopy in a comprehensive manner.

### 1.1.2 Fundamental Principles of $^{13}\text{C}$ -NMR Spectroscopy

Nuclear Magnetic Resonance (NMR) spectroscopy is based on the principle that certain nuclei possess a property called "nuclear spin." When such nuclei are placed in an external magnetic field, they align either with or against the field, creating different energy states. When a radiofrequency (RF) pulse is applied, transitions between these states occur, which can be detected and translated into a spectrum.



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Carbon-13 ( $^{13}\text{C}$ ) is a naturally occurring isotope of carbon with a nuclear spin of  $\frac{1}{2}$ , making it NMR-active. However, it is only about 1.1% abundant in nature, meaning that in a sample of carbon atoms, only about one in a hundred is a  $^{13}\text{C}$  atom. Despite this low natural abundance,  $^{13}\text{C}$ -NMR provides valuable data because the chemical shift range for carbon is much broader (up to 200 ppm or more) than for hydrogen, allowing better resolution of signals.

### 1.1.3 Chemical Shifts and Their Interpretation

The position of each peak in a  $^{13}\text{C}$ -NMR spectrum is determined by the "chemical shift" (measured in parts per million, or ppm), which reflects the electronic environment surrounding the carbon atom. Electrons shield nuclei from the magnetic field, and different functional groups cause varying degrees of shielding.

**Alkane Carbons:** Usually appear between 0–50 ppm.

**Alkene and Aromatic Carbons:** Show up in the range of 100–150 ppm.

**Carbonyl Carbons (e.g., in ketones, aldehydes, carboxylic acids):** Typically appear from 160 to 220 ppm.

**Alcohols and Ether Carbons:** Appear around 50–90 ppm.

The number of signals gives information about the number of different carbon environments in the molecule. If two carbons are chemically equivalent (i.e., have the same electronic environment), they give rise to a single peak.

### 1.1.4 Instrumentation and Techniques

A typical  $^{13}\text{C}$ -NMR spectrometer consists of a powerful magnet, an RF transmitter and receiver, and a computer system to analyze data. To

improve sensitivity and simplify the spectrum, certain techniques are employed:

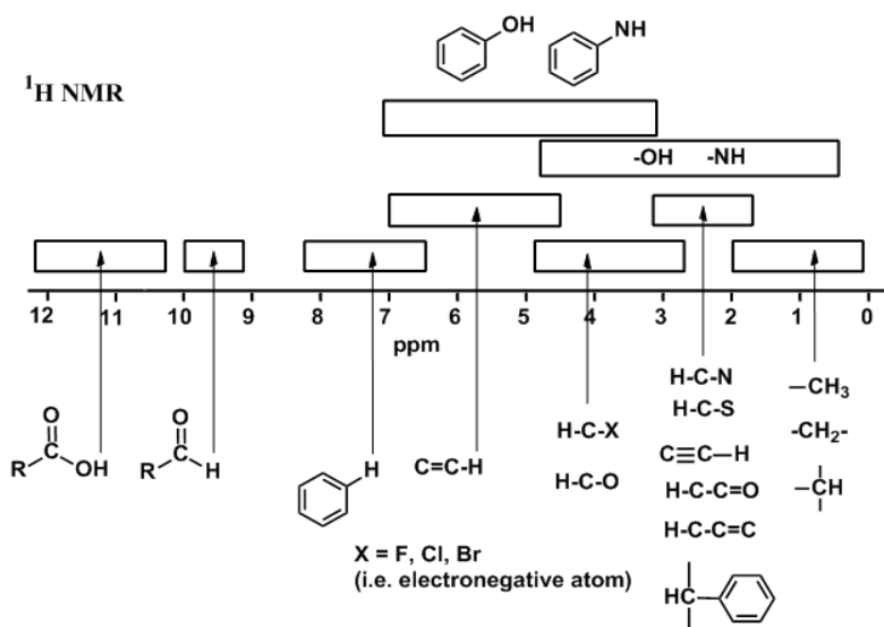
**Proton Decoupling:** During data collection, proton decoupling is often applied to eliminate splitting caused by carbon-hydrogen couplings, resulting in single peaks for each unique carbon.

**DEPT (Distortionless Enhancement by Polarization Transfer):**

A specialized technique that helps distinguish between CH, CH<sub>2</sub>, and CH<sub>3</sub> groups based on the phase of the signals.

**Off-resonance Decoupling:** This allows the detection of splitting patterns but keeps the spectrum simpler than fully coupled spectra.

Modern instruments may operate at high frequencies (e.g., 100 MHz for <sup>13</sup>C), which increases resolution and signal-to-noise ratio. Sample preparation usually involves dissolving the compound in a deuterated solvent like CDCl<sub>3</sub> or DMSO-d<sub>6</sub>, which does not interfere with the <sup>13</sup>C signals.





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$^{13}\text{C}$ -NMR spectroscopy has broad applications in various scientific fields:

**Organic Structure Elucidation:** The primary use of  $^{13}\text{C}$ -NMR is to determine the framework of organic molecules, identify functional groups, and deduce isomeric structures.

**Natural Product Chemistry:** Complex molecules such as alkaloids, terpenes, and steroids can be analyzed and characterized using  $^{13}\text{C}$ -NMR, often in combination with other spectroscopic techniques.

**Pharmaceutical Research:** Drug discovery and formulation rely heavily on NMR data for compound verification, impurity profiling, and stability testing.

**Polymer Chemistry:**  $^{13}\text{C}$ -NMR is used to examine the repeating units, branching, and end groups in polymers.

**Biochemistry and Metabolomics:**  $^{13}\text{C}$ -labeled substrates are used to study metabolic pathways and protein–ligand interactions.

### Advantages of $^{13}\text{C}$ -NMR

**Wide Chemical Shift Range:** The large range (over 200 ppm) allows clearer differentiation of signals.

**Non-Destructive Analysis:** Samples can be recovered after analysis.

**Structural Clarity:** Provides information on both the number and type of carbon atoms in a molecule.

**Quantitative Potential:** With appropriate parameters,  $^{13}\text{C}$ -NMR can be used quantitatively.

**Functional Group Identification:** Carbon signals are often characteristic of specific functional groups.

### Limitations and Challenges



Despite its many advantages,  $^{13}\text{C}$ -NMR spectroscopy has some limitations:

**Low Sensitivity:** Due to the low natural abundance and lower gyromagnetic ratio of  $^{13}\text{C}$ , its signals are inherently weak.

**Longer Acquisition Times:** Multiple scans are required to improve the signal-to-noise ratio.

**Overlapping Signals:** In very complex molecules, overlapping peaks may obscure interpretation.

**Cost and Equipment:** High-resolution NMR instruments are expensive and require cryogenic conditions for superconducting magnets.

### Future Prospects

Recent advances are continuously enhancing the capabilities of  $^{13}\text{C}$ -NMR. These include:

**Cryoprobes:** Improve sensitivity by cooling the detection coil and preamplifier.

**Dynamic Nuclear Polarization (DNP):** Offers dramatic enhancements in signal strength by transferring polarization from electrons to nuclei.

**Multidimensional NMR:** Techniques like HSQC and HMBC correlate  $^1\text{H}$  and  $^{13}\text{C}$  shifts, improving structure elucidation.

**Solid-State  $^{13}\text{C}$ -NMR:** Expands the method's utility to materials and insoluble samples.

### Summary

Carbon-13 NMR spectroscopy stands as a cornerstone technique in modern chemical analysis. While it requires careful sample preparation and interpretation, the wealth of information it provides is unmatched in

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terms of carbon atom characterization. With ongoing technological improvements,  $^{13}\text{C}$ -NMR is poised to remain an indispensable tool in organic, pharmaceutical, and materials chemistry, helping scientists unravel molecular mysteries with ever-increasing precision.

### Multiple Choice Questions (MCQ)

1. The natural abundance of  $^{13}\text{C}$  isotope is approximately:

- a) 99%
- b) 50%
- c) 1.1%
- d) 0.01%

Answer: c) 1.1%

2. The reference compound used in  $^{13}\text{C}$ -NMR spectroscopy is:

- a) Chloroform
- b) Tetramethylsilane (TMS)
- c) Benzene
- d) Ethanol

Answer: b) Tetramethylsilane (TMS)

3. Which technique helps differentiate CH,  $\text{CH}_2$ , and  $\text{CH}_3$  groups in  $^{13}\text{C}$ -NMR?

- a) COSY
- b) NOESY
- c) DEPT
- d) IR spectroscopy

Answer: c) DEPT

4. In proton-decoupled  $^{13}\text{C}$ -NMR, the carbon signals appear mostly as:

- a) Multiplets
- b) Doublets
- c) Triplets
- d) Singlets

Answer: d) Singlets



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### Short Answer Questions

1. Why is  $^{13}\text{C}$ -NMR less sensitive compared to  $^1\text{H}$ -NMR?
2. Define chemical shift in  $^{13}\text{C}$ -NMR and state its reference compound.
3. What is the advantage of proton-decoupling in  $^{13}\text{C}$ -NMR spectra?
4. Explain how DEPT experiment helps in  $^{13}\text{C}$ -NMR analysis.
5. List two applications of  $^{13}\text{C}$ -NMR spectroscopy in pharmaceutical sciences.

### Long Answer Questions

1. Discuss the principle of  $^{13}\text{C}$ -NMR spectroscopy and explain why  $^{13}\text{C}$  is preferred over  $^{12}\text{C}$  for NMR studies.
2. Explain the importance of chemical shift in  $^{13}\text{C}$ -NMR and describe the typical  $\delta$  values for alkyl, alkyne, alkene, and carbonyl carbons.
3. Compare and contrast  $^1\text{H}$ -NMR and  $^{13}\text{C}$ -NMR spectroscopy with suitable examples.
4. Describe the DEPT technique in detail and explain how it helps in identifying different carbon environments in organic molecules.
5. Write an essay on the applications of  $^{13}\text{C}$ -NMR in structural elucidation of organic and pharmaceutical compounds

# Unit 1.2

## Nuclear Quadrupole Resonance (NQR)

### Spectroscopy



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#### Objectives

1. To understand the principle of NQR and how it differs from Nuclear Magnetic Resonance (NMR).
2. To study the interaction of quadrupolar nuclei with electric field gradients.
3. To explore the conditions under which NQR can be observed.
4. To recognize applications of NQR in chemistry, physics, and pharmaceutical sciences.
5. To appreciate the role of NQR in studying solid-state materials and molecular structures.

#### 1.2.1 INTRODUCTION

Nuclear Quadrupole Resonance (NQR) spectroscopy is a powerful, yet less commonly known, spectroscopic technique that is used to study the interaction of atomic nuclei with their local electric field gradients (EFG) in the absence of an external magnetic field. Unlike Nuclear Magnetic Resonance (NMR), which requires the presence of a strong external magnetic field, NQR relies on the intrinsic electric quadrupole moment of certain nuclei and their interaction with the surrounding electronic environment.

Though limited to a subset of nuclei (those with a nuclear spin quantum number  $I > \frac{1}{2}$ ), NQR provides valuable information about the structural, electronic, and dynamic properties of solids. It has unique applications in solid-state physics, materials science, chemistry, and even security screening. This essay delves into the theoretical basis, instrumentation, advantages, limitations, and various applications of NQR spectroscopy.

#### 1.2.2 Theoretical Principles of NQR

NQR is based on the interaction between the **nuclear quadrupole moment** and the **electric field gradient (EFG)** present at the site of the nucleus. Nuclei with a spin quantum number greater than  $\frac{1}{2}$  (such as  $I = 1, 3/2, 5/2$ , etc.) possess a quadrupole moment due to their non-spherical



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charge distribution. When such a nucleus is placed in a solid lattice, the local arrangement of electrons and neighboring atoms creates an electric field gradient (EFG). This interaction leads to discrete energy levels even in the **absence of an external magnetic field**, which is a defining feature of NQR. Transitions between these levels can be induced by applying an appropriate radiofrequency (RF) field, and the resulting absorption or emission of energy is detected as the NQR signal.

The **quadrupole Hamiltonian** describes this interaction, and the energy level splitting depends on both the magnitude and symmetry of the EFG at the nucleus. The frequencies at which transitions occur are characteristic of the nucleus and its environment, making NQR a highly specific tool for molecular and structural analysis.

### 1.2.3 Nuclei That Exhibit NQR

Only nuclei with spin quantum numbers greater than  $\frac{1}{2}$  exhibit quadrupole moments and are thus NQR-active. Some of the commonly studied NQR-active nuclei include:

**Nitrogen-14 ( $^{14}\text{N}$ )** –  $I = 1$

**Chlorine-35 ( $^{35}\text{Cl}$ )** and **Chlorine-37 ( $^{37}\text{Cl}$ )** –  $I = 3/2$

**Copper-63 ( $^{63}\text{Cu}$ )** and **Copper-65 ( $^{65}\text{Cu}$ )** –  $I = 3/2$

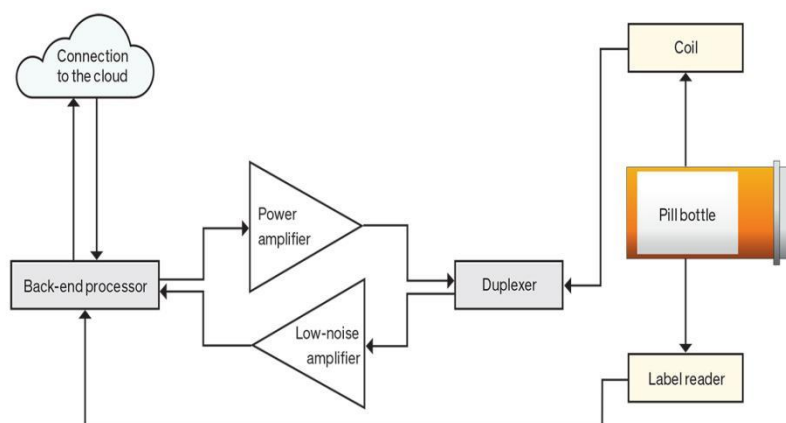
**Iodine-127 ( $^{127}\text{I}$ )** –  $I = 5/2$

**Bromine-79 ( $^{79}\text{Br}$ )** and **Bromine-81 ( $^{81}\text{Br}$ )** –  $I = 3/2$

**Aluminum-27 ( $^{27}\text{Al}$ )** –  $I = 5/2$

Each of these nuclei shows characteristic NQR frequencies depending on the compound in which it is found.

### 1.2.4 Instrumentation and Experimental Setup



The basic NQR spectrometer consists of:

**RF Oscillator and Amplifier** – Generates and amplifies the radiofrequency pulses used to excite the nuclei.

**Sample Coil and Probe** – Holds the solid sample and transmits/receives RF energy.

**Receiver and Detector** – Captures the emitted signal from the nucleus during relaxation.

**Data Processor** – Translates the time-domain signal into frequency-domain spectra via Fourier transformation.

Unlike NMR, **no external magnetic field** is required in NQR. However, the equipment must be extremely sensitive because NQR signals are generally weaker and more susceptible to environmental noise. The sample is usually a solid because EFGs are well-defined and stable in crystalline or semi-crystalline materials.

### 1.2.5 Applications of NQR Spectroscopy

NQR has a range of highly specialized applications due to its ability to provide information about the local electronic environment and symmetry.



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### ***1. Structural Analysis of Solids***

NQR can be used to study the electronic structure and molecular geometry of crystalline solids. It is especially useful for determining bonding characteristics, lattice defects, and phase transitions.

### ***2. Explosive and Narcotic Detection***

One of the most famous practical applications of NQR is in security and military contexts. Many nitrogen-containing explosives (e.g., TNT, RDX) exhibit characteristic  $^{14}\text{N}$  NQR signals. As such, NQR can non-invasively detect explosives without opening packages or using ionizing radiation.

### ***3. Pharmaceutical Analysis***

In drug development, NQR is employed to study polymorphism in solid drugs. Different crystal forms of the same drug substance can have different bioavailability and stability, and NQR provides a fingerprint of these forms.

### ***4. Semiconductor and Materials Science***

NQR is used to examine the local structure of semiconductors and superconductors, particularly in high- $T_c$  (high-temperature) superconductors that contain copper and oxygen atoms. It provides insight into the distribution of charge and defects in the lattice.

### ***5. Cement and Clays***

In materials science, NQR is used to study  $^{27}\text{Al}$  and  $^{14}\text{N}$  nuclei in cement, ceramics, and clays. It helps in characterizing the hydration process, binding strength, and crystalline phases of these materials.

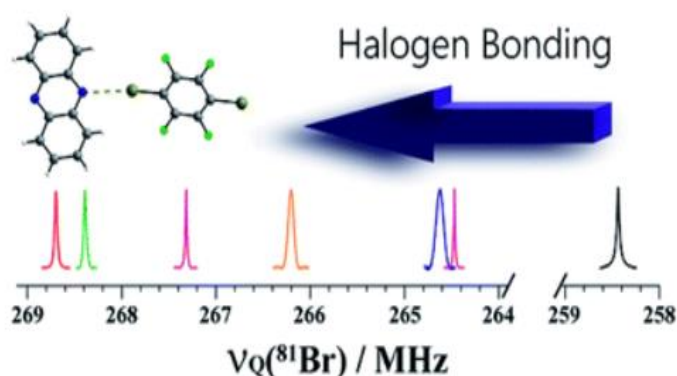
## Advantages of NQR Spectroscopy

**No Need for External Magnetic Fields:** This makes NQR simpler and less expensive in terms of instrumentation compared to high-field NMR.

**Highly Specific Fingerprinting:** NQR frequencies are highly sensitive to the chemical environment, allowing for precise identification of compounds.

**Non-Destructive Technique:** Like NMR, NQR is non-invasive and does not alter the sample.

**Excellent for Solids:** NQR works best with crystalline solids and does not require the sample to be dissolved or altered.



## Limitations of NQR

**Limited to Quadrupolar Nuclei:** Only applicable to nuclei with spin  $> \frac{1}{2}$ , thus excluding many common isotopes like  $^1\text{H}$  and  $^{13}\text{C}$ .

**Weak Signals:** Natural abundance and low sensitivity lead to weak signal strengths, requiring long scan times and sensitive equipment.

**Temperature Dependence:** The NQR frequencies are strongly temperature-dependent, which can complicate analysis.



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**Restricted to Solids:** NQR is rarely used for liquids due to rapid molecular motion that averages out the electric field gradient.

**Susceptible to Environmental Noise:** Absence of a large magnetic field makes the signal more vulnerable to external radiofrequency interference

### 1.2.6 Recent Advances and Future Directions

With the advancement in electronics and data processing, modern NQR systems have become more sensitive and user-friendly. There is growing interest in portable NQR devices for field detection of explosives and drugs. In the laboratory, integration with other spectroscopic techniques (like NMR and EPR) enhances its utility in complex systems.

Further research is ongoing to expand the database of NQR frequencies for more compounds, develop faster data acquisition methods, and explore new applications in nanomaterials and quantum computing.

### Summary

Nuclear Quadrupole Resonance (NQR) spectroscopy is a highly specialized yet potent analytical tool that complements other spectroscopic techniques like NMR and IR. Although limited to a subset of nuclei and applicable primarily to solids, it delivers unmatched specificity for identifying compounds, analyzing structural properties, and investigating electronic environments. From detecting explosives to characterizing pharmaceutical polymorphs, NQR plays a vital role in both industrial and research settings. As technology evolves, the role of NQR in materials science, security, and chemistry is poised to grow even further, offering exciting possibilities for the future.



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### Multiple Choice Questions (MCQs)

1. NQR spectroscopy is applicable only to nuclei with spin quantum number:

- a)  $I = 0$
- b)  $I = \frac{1}{2}$
- c)  $I \geq 1$
- d) None of the above

Answer: c)  $I \geq 1$

2. NQR spectroscopy does not require:

- a) Radiofrequency source
- b) External magnetic field
- c) Quadrupolar nucleus
- d) Electric field gradient

Answer: b) External magnetic field

3. The interaction studied in NQR is between:

- a) Nuclear spin and magnetic field
- b) Nuclear quadrupole moment and electric field gradient
- c) Electron spin and magnetic moment
- d) Vibrational motion and radiation

Answer: b) Nuclear quadrupole moment and electric field gradient

4. Which nucleus is commonly studied using NQR?

- a)  $^1\text{H}$
- b)  $^{13}\text{C}$
- c)  $^{14}\text{N}$
- d)  $^{19}\text{F}$

Answer: c)  $^{14}\text{N}$

5. An advantage of NQR over NMR is:

- a) Works in liquids and gases
- b) No requirement of external magnet
- c) Works for all nuclei
- d) More sensitive for  $I = \frac{1}{2}$  nuclei

Answer: b) No requirement of external magnet

### Short Answer Questions

1. Define Nuclear Quadrupole Resonance (NQR).
2. Why does NQR not require an external magnetic field?
3. List any two applications of NQR in pharmaceutical sciences.
4. What type of nuclei can be studied using NQR spectroscopy?
5. Differentiate between NMR and NQR.

### Long Answer Questions

1. Explain the principle of NQR spectroscopy and its dependence on nuclear spin and electric field gradient.
2. Discuss the applications of NQR in solid-state chemistry and pharmaceutical analysis.
3. Compare and contrast NQR with NMR, highlighting their advantages and limitations.
4. Explain how NQR can be used in the detection of explosives and crystalline polymorphs.
5. Derive the resonance condition for NQR transitions in nuclei with spin quantum number  $I = 3/2$ .

## Unit 1.3 ESR Spectroscopy



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#### 1.3.1 Introduction

##### Objectives

1. To understand the basic principles of ESR spectroscopy.
2. To explain the concept of unpaired electrons and their magnetic properties.
3. To describe the instrumentation used in ESR spectroscopy.
4. To interpret ESR spectra in terms of g-factor and hyperfine splitting.
5. To identify and analyze the major applications of ESR in chemistry, biology, and material sciences.

Electron Spin Resonance (ESR) spectroscopy, also referred to as Electron Paramagnetic Resonance (EPR), is a powerful technique used to study chemical species with unpaired electrons. This includes free radicals, transition metal ions, and certain defects in solids. First developed in the 1940s, ESR has become an indispensable analytical tool in chemistry, physics, biology, and materials science.

The fundamental principle of ESR is analogous to Nuclear Magnetic Resonance (NMR), but instead of detecting nuclear spins, ESR measures the magnetic properties of electrons. Since electrons have a much greater magnetic moment than nuclei, ESR is significantly more sensitive than NMR for detecting unpaired electrons.

#### 1.3.2 Basic Principle of ESR Spectroscopy

At the heart of ESR is the behavior of electrons in a magnetic field. Electrons possess a property called **spin**, which gives rise to a magnetic moment. When an external magnetic field is applied, the unpaired electron can align either with (lower energy) or against (higher energy) the field, resulting in two distinct energy levels.

The energy difference between these levels is given by the equation:

$$\Delta E = g\mu_B B$$

Where

$\Delta E$  is the energy difference between spin states

$g$  is the g-factor (a dimensionless constant specific to the electron's environment)



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$\mu_B$  is the Bohr magneton

$B$  is the external magnetic field strength

When electromagnetic radiation of microwave frequency is applied to a sample in a magnetic field, and the photon energy matches the energy difference between the two spin states, the electron absorbs the radiation—this is **resonance**. The absorption is detected and plotted as a function of magnetic field strength to produce an ESR spectrum.

### 1.3.3 Instrumentation of ESR Spectroscopy

An ESR spectrometer consists of the following major components:

**Magnet:** Provides a strong and uniform magnetic field across the sample. Usually, field strength varies from 0 to 1 Tesla.

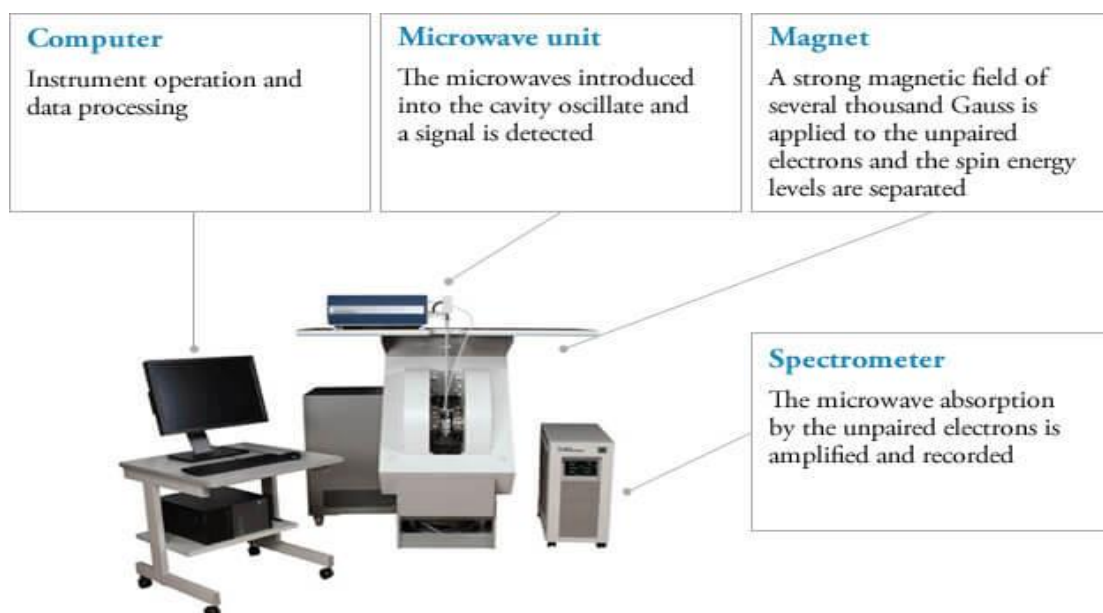
**Microwave Source:** Typically operates at X-band frequency (~9.5 GHz), although other bands like Q-band or L-band may be used.

**Resonant Cavity:** Contains the sample and enhances the interaction between microwave radiation and the sample.

**Detector:** Measures the absorbed microwave power as the magnetic field is swept.

**Recorder and Processor:** Records the spectrum and allows further analysis.

The sample is usually placed in a quartz tube and may be cooled with liquid nitrogen if thermal broadening is an issue.



### 1.3.4 Features of ESR Spectra

An ESR spectrum is typically plotted as a **first derivative** of the absorption with respect to the magnetic field. Key features of the spectrum include:

**g-Value:** A measure of the magnetic environment of the unpaired electron. A free electron has a g-value of  $\sim 2.0023$ , but deviations occur due to spin-orbit coupling and molecular environment.

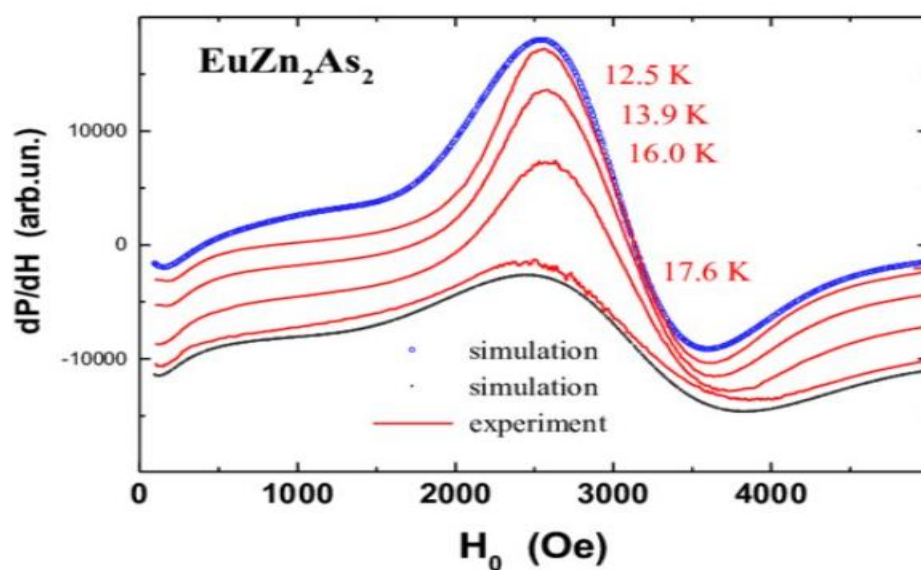
**Hyperfine Splitting:** The interaction between the magnetic moment of the unpaired electron and nearby nuclear spins (like  $^1\text{H}$  or  $^{14}\text{N}$ ) causes the ESR signal to split into multiple components. This provides detailed structural and electronic information

Example: A free radical with one nearby proton shows a doublet due to electron-proton interaction.

**Line Width and Shape:** Reflects the dynamics and environment of the unpaired electrons. Broader lines may indicate shorter relaxation times or unresolved hyperfine interactions.



## SPECTROSCOPY II



The ESR spectrum at low temperatures.

### 1.3.5 Types of ESR Samples

ESR spectroscopy requires species with **unpaired electrons**. Common examples include:

**Free Radicals:** Such as organic radicals (e.g., phenyl radical), radical anions and cations.

**Transition Metal Complexes:** Especially those with partially filled d-orbitals (e.g.,  $\text{Cu}^{2+}$ ,  $\text{Fe}^{3+}$ ).

**Defects in Solids:** Unpaired electrons in semiconductors and insulators (e.g., F-centers in ionic crystals).

**Biological Molecules:** Metalloproteins, photosynthetic intermediates, and reactive oxygen species



## **Applications of ESR Spectroscopy**

### ***1. Detection and Characterization of Free Radicals***

ESR is the most direct method to detect free radicals in chemical reactions, combustion processes, radiation chemistry, and biological systems. It helps in identifying radicals, measuring their concentration, and studying their stability and reactivity.

### ***2. Transition Metal Complexes***

Transition metals with unpaired electrons give distinctive ESR spectra, revealing information about oxidation state, geometry, and ligand environment. This is especially useful in coordination chemistry and bioinorganic studies.

### ***3. Solid-State and Defect Studies***

ESR is widely used to study defects and impurities in solid materials such as insulators, semiconductors, and ceramics. It provides insight into the electronic structure and localization of electrons.

### ***4. Radiation Dosimetry***

ESR can detect radicals generated in materials upon exposure to radiation. It is used in dosimetry for measuring radiation exposure in materials like alanine or bone.

### ***5. Biological and Medical Research***

ESR plays a key role in understanding radical-based processes in biology. It is used to study oxidative stress, enzyme mechanisms, and in spin labeling techniques for probing protein structure and dynamics.



## SPECTROSCOPY II

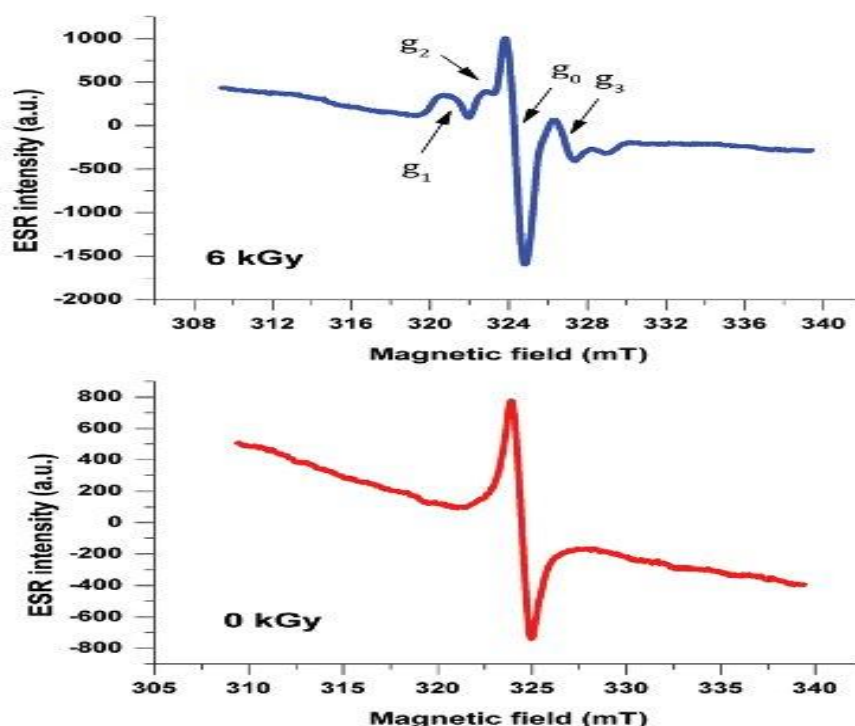
### Advanced ESR Techniques

**Spin Trapping:** Used to stabilize and detect short-lived radicals by reacting them with a "spin trap" molecule to form a more stable radical adduct detectable by ESR.

**Spin Labeling:** A technique where stable radicals (e.g., nitroxides) are covalently attached to biomolecules to study their motion, interaction, and conformation.

**Pulsed ESR and ESEEM (Electron Spin Echo Envelope Modulation):** Advanced techniques that provide time-resolved information about spin dynamics and weak hyperfine interactions.

**ENDOR (Electron-Nuclear Double Resonance):** Combines ESR and NMR to obtain high-resolution information about the interaction between electrons and surrounding nuclei.



### Advantages of ESR Spectroscopy

**Highly Sensitive to Unpaired Electrons:** Allows detection of minute amounts of paramagnetic species.



## SPECTROSCOPY II

**Non-Destructive:** Sample remains intact after analysis.

**Direct Detection of Radicals:** No indirect inference is needed.

**Applicable to Solids, Liquids, and Gases:** Versatile across different physical states.

**Can Work at Low Temperatures:** Useful for trapping and studying reactive intermediates

### Limitations of ESR Spectroscopy

**Limited to Paramagnetic Species:** Cannot detect molecules that are diamagnetic (have all paired electrons).

**Complex Spectra:** Hyperfine interactions may complicate interpretation without prior knowledge or simulation.

**Instrument Cost and Expertise:** High-frequency microwave instruments can be costly and require skilled operation.

**Sample Concentration:** Typically requires a higher concentration of paramagnetic species compared to NMR.

### Summary

Electron Spin Resonance (ESR) spectroscopy is a uniquely powerful technique for investigating species with unpaired electrons. From fundamental studies of radicals and transition metals to applications in biology, materials science, and environmental monitoring, ESR continues to expand its utility. Despite its limitations, its ability to provide detailed insights into electronic structure, molecular dynamics, and reaction intermediates makes ESR a vital tool in modern scientific research. As instrumentation improves and new methodologies develop, the future of ESR spectroscopy holds even broader applications across disciplines.



## SPECTROSCOPY II

### Multiple Choice Questions (MCQ)

1. ESR spectroscopy is used to study:

- a) Molecules with paired electrons
- b) Molecules with unpaired electrons
- c) Only diamagnetic substances
- d) Nuclear spin transitions

Answer: b) Molecules with unpaired electrons

2. The ESR technique uses which region of the electromagnetic spectrum?

- a) Infrared
- b) Visible
- c) Microwave
- d) X-ray

Answer: c) Microwave

3. Hyperfine splitting in ESR spectra is caused by:

- a) Electron–electron interactions
- b) Electron–nuclear spin interactions
- c) Vibrational transitions
- d) Rotational transitions

Answer: b) Electron–nuclear spin interactions

4. The g-factor in ESR spectroscopy provides information about:

- a) Vibrational energy
- b) Nuclear magnetic moment
- c) Electronic environment of unpaired electrons
- d) Bond length

Answer: c) Electronic environment of unpaired electrons

5. One major biological application of ESR is:

- a) Detecting free radicals in tissues
- b) Protein crystallization
- c) DNA sequencing
- d) Enzyme kinetics

Answer: a) Detecting free radicals in tissues

### Short Questions

1. Define Electron Spin Resonance (ESR) spectroscopy.
2. Which types of substances can be studied using ESR ?
3. Mention the principle of ESR spectroscopy in one sentence.
4. What is the significance of the g-factor in ESR ?
5. Give one medical application of ESR spectroscopy.

### Long Questions

1. Explain the working principle of ESR spectroscopy with the help of an energy level diagram.
2. Describe the instrumentation used in ESR spectroscopy.
3. Discuss the concept of hyperfine splitting and its importance in ESR spectra interpretation.
4. Write a detailed note on the applications of ESR spectroscopy in chemistry, biology, and materials science.
5. Compare and contrast ESR with NMR spectroscopy.



## Unit 1.4

### Application of ESR

#### 1.4.1 Introduction

Electron Spin Resonance (ESR), also known as Electron Paramagnetic Resonance (EPR), is a powerful analytical technique used to study materials and molecules with unpaired electrons. Since unpaired electrons are often found in reactive intermediates such as radicals and in transition metal ions, ESR plays a central role in understanding reaction mechanisms, molecular structures, dynamic processes, and material properties.

ESR is unique among spectroscopic methods because it allows **direct detection** of paramagnetic species, making it invaluable for studying short-lived intermediates and detecting minute quantities of free radicals. This chapter explores the wide range of ESR applications across multiple disciplines and demonstrates its critical role in scientific discovery and technological development.

#### 1.4.2. Applications in Chemistry

##### *1.4.2.1 Free Radical Detection and Characterization*

Free radicals are often intermediates in organic reactions, combustion, polymerization, and photochemical processes. ESR provides the most direct method for identifying and studying such radicals.

- 

**Organic Radical Reactions:** ESR is used to detect and study radicals such as alkyl, aryl, peroxy, and alkoxy radicals. It provides insight into radical stability, structure, and reactivity



## SPECTROSCOPY II

**Spin Trapping:** Transient radicals that are too reactive to detect directly are reacted with "spin traps" to form stable radical adducts, which are ESR-detectable. This is important in atmospheric chemistry and polymer degradation studies.

**Reaction Kinetics:** ESR can monitor the concentration of radicals over time, enabling detailed kinetic analysis of radical chain reactions.

### *1.4.2.2 Study of Photochemical and Redox Reactions*

ESR is ideal for monitoring photochemically generated species and redox-active intermediates:

In photochemistry, ESR detects radicals formed upon UV or visible light excitation.

In redox systems, ESR can differentiate between different oxidation states of transition metal

## **1. 4.3. Applications in Biological and Medical Sciences**

### *1.4.3.1 Understanding Oxidative Stress and Radical Pathways*

Oxidative stress, caused by an imbalance between free radicals (like superoxide, hydroxyl, and nitric oxide) and antioxidants in the body, is implicated in many diseases including cancer, Alzheimer's, cardiovascular disease, and diabetes.

**ESR Spin Trapping** helps detect reactive oxygen species (ROS) and reactive nitrogen species (RNS) in vivo and in vitro.

It helps investigate radical-induced cellular damage to proteins, lipids, and DNA.

### *1.4.3.2 Drug Development and Pharmacokinetics*



ESR is used to study **drug–radical interactions**, aiding in the development of **antioxidant drugs**.

It is valuable in determining the mechanism of **free radical scavengers** and evaluating drug efficacy against oxidative stress.

## SPECTROSCOPY II

### *1.4.3.3 Spin Labeling of Biomolecules*

Stable paramagnetic groups (like nitroxide radicals) are covalently attached to proteins, nucleic acids, or membranes.

**Structure and Dynamics:** ESR provides insights into the structure, conformational changes, and dynamics of proteins and enzymes.

**Protein–Ligand Interactions:** It reveals binding sites and interactions at a molecular level.

### *1.4.3.4 ESR Imaging in Medicine*

Emerging ESR imaging techniques enable **mapping of radical distribution** in tissues.

It can track **oxygen concentration and distribution**, useful in tumor physiology studies (since tumors often show hypoxia).



## SPECTROSCOPY II



### 1.4.1. Applications in Solid-State Physics and Materials Science

#### 1.4.1 Defects in Crystals and Semiconductors

ESR plays a crucial role in identifying and characterizing **paramagnetic defects**, such as:

**Vacancies and Interstitials:** ESR can detect lattice defects like F-centers (anionic vacancies with trapped electrons) in alkali halide crystals.

**Dopants and Impurities:** ESR identifies transition metal ions and rare earth dopants in semiconductors and ceramics.



**Charge Trapping Sites:** ESR reveals locations where electrons or holes are trapped, important for understanding conductivity and insulation properties.

#### ***1.4.2 Study of Amorphous and Glassy Materials***

In disordered systems like glasses, ESR detects **localized states and dangling bonds**, helping to understand electronic transport and stability.

#### ***1.4.3 High-Temperature Superconductors and Magnetic Materials***

ESR characterizes magnetic ordering, spin dynamics, and electron correlation in **high-T<sub>c</sub> superconductors** and **magnetoresistive oxides**.

**Low-dimensional spin systems**, such as spin chains and ladders, can also be probed via ESR

### **1.4.4. Applications in Environmental Science**

#### ***1.4.1. Detection of Pollutants and Toxins***

ESR identifies free radicals generated during photodegradation of pollutants.

It detects radicals in atmospheric aerosols and industrial effluents, important for air and water quality assessment.

#### ***1.4.5 Radiation and UV Effects on Materials***

ESR detects **radiation-induced radicals** in environmental materials like soil, plants, and plastics.

It's used to monitor **photodegradation of polymers**, such as polyethylene and polystyrene, under sunlight exposure.

#### ***1.4.6 Soil and Plant Studies***



## SPECTROSCOPY II

Paramagnetic metal ions ( $\text{Fe}^{3+}$ ,  $\text{Mn}^{2+}$ ,  $\text{Cu}^{2+}$ ) in soil and plant systems are detectable by ESR, providing information about **nutrient dynamics and bioavailability**.

### 1.4.7. Applications in Radiation Dosimetry and Dating

#### *Radiation Dosimetry*

ESR is a **primary method for detecting radiation exposure**, using biological (teeth, bones) or synthetic (alanine, quartz) materials

Alanine dosimetry is widely used in food irradiation and medical radiation therapy monitoring.

#### *Archaeological and Geological Dating*

ESR measures trapped electrons in **fossil tooth enamel, corals, sediments, and quartz**.

It provides age estimates up to **millions of years**, aiding archaeological, paleontological, and geological studies.

### 1.4.8 Applications in Polymer Science

#### *Polymer Degradation and Aging*

ESR detects radicals formed during **thermal, mechanical, or photochemical degradation**.

Helps optimize stabilizers and antioxidants in plastic formulations.

#### *Radical Polymerization Mechanisms*

ESR studies **radical initiators** and **propagating radicals**, revealing kinetic and mechanistic data about polymer growth.

#### *Cross-Linking and Curing*

ESR monitors **cross-linking reactions** in resins and rubbers, which are important in adhesives, coatings, and composites.



## SPECTROSCOPY II

### 1.4.9 Emerging and Interdisciplinary Applications

#### *Quantum Computing and Spintronics*

ESR is used to study **qubits based on electron spin** in materials like NV centers in diamond.

It helps develop **spintronic devices**, where electron spin, not charge, is used for information processing.

#### *Food Science*

ESR detects radicals generated during **irradiation of food**, used to confirm radiation treatment.

It also assesses **oxidative spoilage**, especially in fats and oils.

#### *Cultural Heritage*

ESR has been applied to study **aging and degradation** of materials in artworks and historical objects.

It helps conservators understand environmental damage to pigments and textiles.

### Summary

The applications of Electron Spin Resonance (ESR) spectroscopy are extensive and multifaceted, ranging from fundamental research in chemistry and physics to practical uses in medicine, environmental monitoring, archaeology, and materials science. Its ability to detect and characterize paramagnetic species makes it invaluable for studying dynamic and reactive systems, particularly those involving free radicals and transition metal complexes.



## **SPECTROSCOPY II**

As technology advances—particularly in imaging, miniaturization, and sensitivity—ESR is poised to play an even larger role in research and industry. Its integration with complementary methods like NMR, EPR imaging, and computational modeling will continue to expand its capabilities and relevance across disciplines.



## Summary: Advanced Spectroscopy Techniques

**Advanced spectroscopy techniques** offer high sensitivity and resolution for analyzing molecular structure, dynamics, and interactions.

## SPECTROSCOPY II

Techniques like **NMR (2D, solid-state)**, **FTIR**, **Raman**, **Mössbauer**, and **X-ray photoelectron spectroscopy (XPS)** provide detailed chemical and electronic information.

**Mass spectrometry-coupled spectroscopy** allows identification of complex mixtures at trace levels.

**Laser-based methods** like laser-induced breakdown spectroscopy (LIBS) and time-resolved fluorescence enhance precision and temporal resolution.

These methods are widely used in pharmaceuticals, materials science, environmental monitoring, and forensic analysis.

## MCQs: Advanced Spectroscopy Techniques

**1. Which of the following techniques is used for analyzing solid-state structures at the atomic level?**

- A. UV-Vis spectroscopy
- B. 1D NMR
- C. Solid-state NMR
- D. Flame photometry

**Answer:** C. Solid-state NMR

**2. Which spectroscopy technique involves interaction of light with molecular vibrations and results in a Raman shift?**

- A. FTIR



## SPECTROSCOPY II

- B. UV-Vis
- C. NMR
- D. Raman Spectroscopy

**Answer:** D. Raman Spectroscopy

**3. Which advanced technique uses high-energy photons to study the elemental composition and oxidation states of surfaces?**

- A. X-ray diffraction (XRD)
- B. Mass spectrometry (MS)
- C. X-ray photoelectron spectroscopy (XPS)
- D. Fluorescence spectroscopy

**Answer:** C. X-ray photoelectron spectroscopy (XPS)

**4. In Mössbauer spectroscopy, which nucleus is most commonly studied?**

- A.  $^{13}\text{C}$
- B.  $^1\text{H}$
- C.  $^{57}\text{Fe}$
- D.  $^{31}\text{P}$

**Answer:** C.  $^{57}\text{Fe}$

**5. Which of the following is a laser-based technique used for elemental analysis of solids?**

- A. LIBS (Laser-Induced Breakdown Spectroscopy)
- B. ESR (Electron Spin Resonance)
- C. IR Spectroscopy
- D. Chromatography

**Answer:** A. LIBS (Laser-Induced Breakdown Spectroscopy)

**6. Time-resolved fluorescence spectroscopy is particularly useful for studying:**

- A. Elemental quantification
- B. Reaction kinetics and excited-state lifetimes
- C. Surface roughness



## SPECTROSCOPY II

D. Molecular weights

**Answer:** B. Reaction kinetics and excited-state lifetimes

**7. Which spectroscopy is most suitable for analyzing vibrational transitions in the mid-infrared region?**

A. UV-Visible

B. FTIR

C. NMR

D. XPS

**Answer:** B. FTIR

**8. Which technique provides information about both the mass and structural identity of unknown compounds?**

A. UV spectroscopy

B. Mass spectrometry (MS)

C. ESR

D. X-ray diffraction

**Answer:** B. Mass spectrometry (MS)

**9. Which spectroscopy technique is particularly effective for studying paramagnetic species?**

A. NMR

B. UV-Vis

C. ESR (Electron Spin Resonance)

D. IR

**Answer:** C. ESR (Electron Spin Resonance)

**10. Which advanced technique is commonly combined with chromatography for compound identification?**

A. UV-Vis

B. FTIR

C. NMR

D. Mass Spectrometry (MS)

**Answer:** D. Mass Spectrometry (MS)



## SPECTROSCOPY II

### Short Questions

1. Define Electron Spin Resonance (ESR) spectroscopy.
2. Which types of substances can be studied using ESR?
3. Mention the principle of ESR spectroscopy in one sentence.
4. What is the significance of the g-factor in ESR?
5. Give one medical application of ESR spectroscopy.

### Long Questions

1. Explain the working principle of ESR spectroscopy with the help of an energy level diagram.
2. Describe the instrumentation used in ESR spectroscopy.
3. Discuss the concept of hyperfine splitting and its importance in ESR spectra interpretation.
4. Write a detailed note on the applications of ESR spectroscopy in chemistry, biology, and materials science.
5. Compare and contrast ESR with NMR spectroscopy.



## MODULE-2

### Mass Spectroscopy and X-Ray Diffraction

#### Unit 2.1

#### Mass Spectroscopy

##### Objectives

1. To understand the principle and working of mass spectroscopy.
2. To identify different components of a mass spectrometer (ion source, analyzer, detector).
3. To explain ionization techniques such as EI, CI, FAB, MALDI, and ESI.
4. To analyze the mass-to-charge ratio ( $m/z$ ) of ions for molecular weight determination.
5. To interpret fragmentation patterns for structural elucidation of organic molecules

##### 2.1.1. Introduction

Mass Spectroscopy (MS), often called Mass Spectrometry, is a highly sensitive analytical technique used to determine the **molecular weight**, **molecular formula**, and **structure of compounds**. It is widely employed across disciplines like organic chemistry, biochemistry, pharmaceuticals, forensic science, and environmental analysis.

The principle behind mass spectrometry is simple: molecules are ionized to generate charged particles (ions), which are then separated based on their **mass-to-charge ratio ( $m/z$ )** using electric and magnetic fields. The resulting spectrum reveals valuable information about the molecular structure, isotopic composition, and fragmentation pattern of the analyte.

Mass spectrometry is often coupled with separation techniques such as **Gas Chromatography (GC-MS)** and **Liquid Chromatography (LC-MS)** for enhanced analysis of complex mixtures.

##### 2.1.2 Basic Principle of Mass Spectrometry

Mass spectrometry involves three main steps:

**Ionization** – The sample is converted into gaseous ions.

**Mass Analysis** – The ions are separated according to their  $m/z$  values using electric or magnetic fields.



**Detection** – The separated ions are detected, and a spectrum is generated showing the relative abundance of each ion.

The **mass spectrum** is a plot of ion intensity (y-axis) versus  $m/z$  (x-axis). The tallest peak is called the **base peak**, and the peak corresponding to the molecular ion is often called the  **$M^+$  or parent ion**.

### 2.1.3. Components of a Mass Spectrometer

A typical mass spectrometer comprises the following components:

#### ***Sample Inlet System***

Delivers the analyte into the ionization chamber. Depending on the instrument, the sample may be introduced in solid, liquid, or gas form.

#### ***Ionization Source***

Converts molecules into ions. Common ionization methods include:

**Electron Impact (EI):** High-energy electrons knock out an electron from the sample molecule (used in GC-MS).

**Electrospray Ionization (ESI):** Produces charged droplets for analyzing biomolecules (used in LC-MS).

**Matrix-Assisted Laser Desorption/Ionization (MALDI):** Uses a laser and matrix to ionize large molecules like proteins.

**Chemical Ionization (CI):** A softer ionization technique that reduces fragmentation.

#### ***Mass Analyzer***

Separates ions based on their  $m/z$  ratios. Types include

#### **Quadrupole Analyzer**



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**Time-of-Flight (TOF)**

**Magnetic Sector**

**Ion Trap**

**Orbitrap**

**Fourier Transform Ion Cyclotron Resonance (FT-ICR)**

Each type differs in resolution, mass range, and speed.

### ***Detector***

Detects and quantifies the ions, converting the signal into an electronic output. Examples include:

- 

Electron multiplier

- 

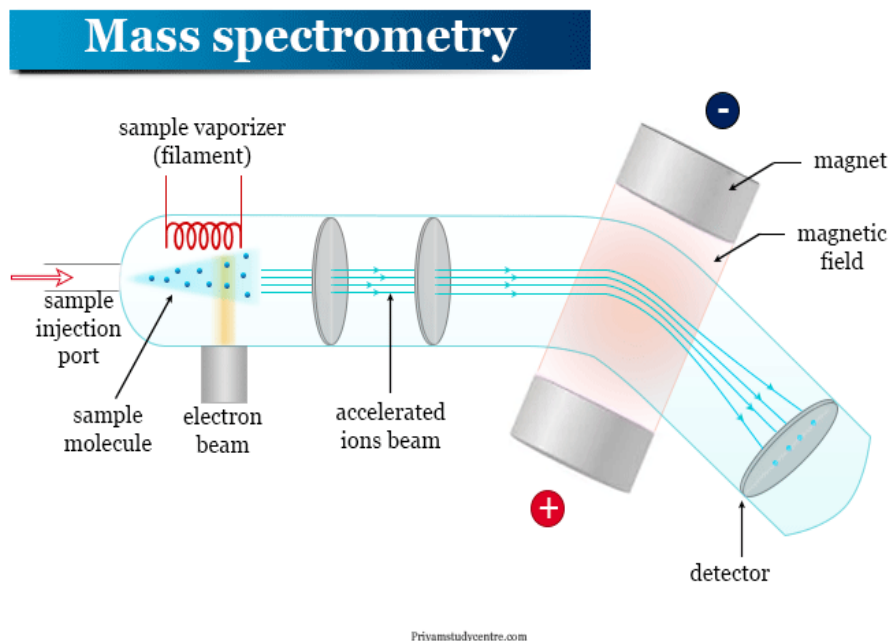
- 

Faraday cup

Photomultiplier tube

### ***Data System***

The signals are processed to generate a mass spectrum, which can be interpreted to extract molecular information.



#### 2.1.4. Types of Mass Spectrometry

##### **Gas Chromatography -Mass Spectrometry (GC-MS)**

Ideal for volatile and thermally stable compounds.

Common in environmental testing, drug detection, and food safety.

##### **Liquid Chromatography -Mass Spectrometry (LC-MS)**

Suitable for non-volatile, polar, and thermally labile substances.

Widely used in pharmaceutical research and biomolecular analysis.

##### **Tandem Mass Spectrometry (MS/MS)**

Involves multiple stages of mass analysis

Useful for structure elucidation, sequencing peptides, and identifying metabolites

##### **MALDI -TOF MS**



## SPECTROSCOPY II

Used for high molecular weight biomolecules such as proteins, peptides, and polymers.

Provides fast and accurate mass determination

### ***4.5 High-Resolution Mass Spectrometry (HRMS)***

Offers very accurate mass measurements (up to 5 decimal places).

Essential for exact molecular formula determination

## **2.1.5. Interpretation of Mass Spectra**

### ***5.1 Molecular Ion Peak ( $M^+$ )***

Represents the intact molecule with one electron removed.

Provides the molecular weight of the compound.

### ***5.2 Base Peak***

The most intense peak in the spectrum, often a fragment ion.

Used as a reference for relative abundance

### ***5.3 Fragmentation Pattern***

Molecules break into predictable fragments based on bond strengths and stability.

Helps identify structural features like alkyl groups, rings, or functional groups

### ***5.4 Isotope Peaks***

Elements with natural isotopes (e.g., Cl, Br) show characteristic isotope patterns.

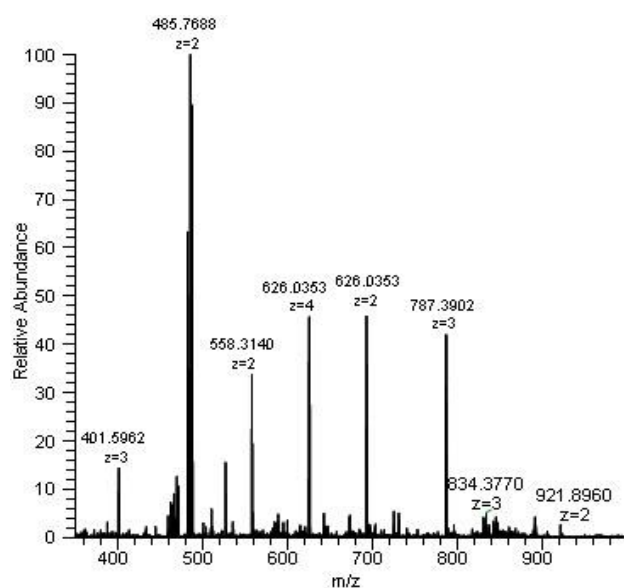
Useful for identifying halogenated compounds.

### 5.5 Nitrogen Rule

Organic compounds with an **odd molecular weight** typically contain **an odd number of nitrogen atoms**.

Used in formula confirmation.

## SPECTROSCOPY II



### A guide to interpreting mass spectra



Mass spectrometry is an analytical technique that allows us to measure the masses of atoms and molecules. The most important peak in a mass spectrum is the molecular ion peak, which can be used to determine the mass of the molecule, but fragment ions can also provide information on chemical structure.

#### How mass spectrometry works

- Sample** → **Ion source** → **Mass analyser** → **Detector** → **Spectrum**

- A small sample of the substance to be analysed is added to the mass spectrometer.
- The mass spectrometer ionises the sample. This can be done in a number of ways, including with a laser, applying a voltage to a liquid sample spray, or firing electrons at a gaseous sample. Some molecules fragment into smaller ions.
- A mass analyser separates ions based on their mass/charge ratio. This can be done in a number of ways using an electric and/or magnetic field.
- Ions hit the detector and it converts them into a signal, amplifies it, and records it.
- The signal is output as a mass spectrum.



A selection of common fragment ions seen in mass spectra are shown above, along with their masses. Note that the structures shown are general representations, and it can also be possible for isomeric structures (those with the same constituent atoms, but a different structure) to cause the peaks in spectra. There are many more fragments possible than those shown, but knowledge of these fragments should suffice to interpret spectra of most simple molecules.

## 2.1.6. Applications of Mass Spectrometry



### SPECTROSCOPY II

#### **6.1 Organic and Inorganic Chemistry**

Molecular weight and formula determination.

Structural elucidation through fragmentation.

Isotope ratio analysis.

#### **6.2 Pharmaceutical Industry**

Drug purity, stability, and pharmacokinetics.

Detection of metabolites in biological fluids.

LC-MS/MS is crucial for bioanalytical assays.

#### **6.3 Proteomics and Biotechnology**

Protein identification and sequencing (via peptide mass fingerprinting).

Post-translational modifications (e.g., phosphorylation, glycosylation).

Biomarker discovery.

#### **6.4 Environmental Analysis**

Detection of pesticides, herbicides, pollutants, and heavy metals.

GC-MS is commonly used for water and air quality monitoring.

#### **6.5 Forensic Science**

Drug testing, toxicology, and explosive residue analysis

Determining the composition of unknown materials at crime scenes.

#### **6.6 Food and Beverage Industry**



## SPECTROSCOPY II

Quality control and contamination analysis.

Flavor and aroma profiling.

Detection of additives and adulterants.

### 6.7 Clinical Diagnostics

Therapeutic drug monitoring.

Newborn screening for metabolic disorders.

Detection of disease biomarkers.

### 2.1.7. Advantages of Mass Spectrometry

**High Sensitivity:** Can detect very small amounts (picogram to femtogram levels).

**High Specificity:** Unique fragmentation patterns aid in compound identification.

**Fast Analysis:** Modern instruments can perform rapid, high-throughput analysis.

**Versatility:** Can analyze a wide range of compounds—organic, inorganic, biomolecules.

**Quantitative and Qualitative:** Simultaneous identification and quantification possible.

### 2.1.8. Limitations of Mass Spectrometry

**High Instrument Cost:** Advanced MS systems are expensive to acquire and maintain.

**Requires Trained Operators:** Expertise is needed to interpret complex spectra.



## SPECTROSCOPY II

**Sample Preparation:** Can be elaborate depending on the matrix and technique.

**Thermal Instability:** Some ionization techniques may degrade thermally labile compounds.

**Matrix Effects:** In complex mixtures, suppression or enhancement of signals may occur.

### 2.1.9. Recent Advances in Mass Spectrometry

**Ambient Ionization Techniques:** e.g., DESI (Desorption Electrospray Ionization), DART (Direct Analysis in Real Time) allow real-time analysis without sample prep.

**Imaging Mass Spectrometry:** Maps molecular distributions in tissues (used in cancer and brain studies).

**Single-Cell MS:** Enables metabolomic and proteomic profiling of individual cells.

**High-Resolution Orbitrap and FT-ICR Instruments:** Provide unmatched resolution and accuracy.

### Summary

Mass Spectrometry has revolutionized the analytical sciences by enabling precise identification, quantification, and structural elucidation of chemical compounds. From minute quantities of drugs to complex biomolecules like proteins, MS provides insights into composition and behavior that are unattainable by other techniques. As technology continues to evolve, mass spectrometry will play an even more critical role in medicine, research, and industry.

## Unit 2.2

### X-Ray Diffraction (XRD)



#### SPECTROSCOPY II

##### Objectives

1. To understand the fundamental principles of X-ray diffraction and its role in material characterization.
2. To explain Bragg's Law and its application in determining crystal structures.
3. To differentiate between powder XRD and single-crystal XRD techniques.

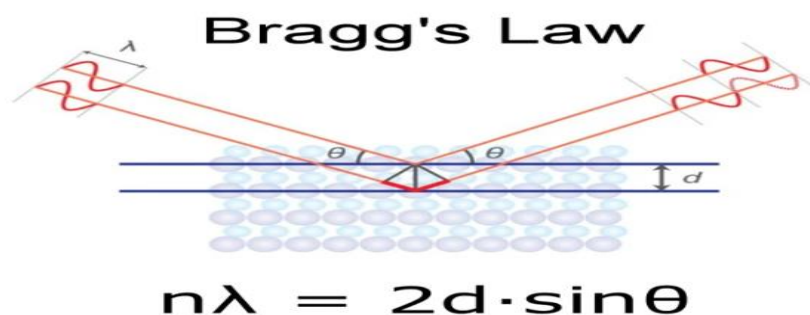
#### 2.2.1 Introduction

X-Ray Diffraction (XRD) is a non-destructive analytical technique used to study the **crystalline structure** of materials. It provides detailed information about the **atomic arrangement**, **phase composition**, **crystallite size**, **lattice parameters**, and **strain** within solids. XRD is based on the scattering of X-rays by atoms in a periodic crystal lattice, resulting in constructive interference at specific angles, known as **Bragg's law** reflections.

Used extensively in **materials science**, **chemistry**, **geology**, **physics**, **nanotechnology**, and **pharmaceuticals**, XRD has become an indispensable tool for understanding solid-state materials, polymorphism,

#### Principle of X-Ray Diffraction

XRD relies on the principle of **Bragg's Law**, which explains the condition for constructive interference of X-rays scattered from the planes of atoms in a crystalline material.





## SPECTROSCOPY II

$$n\lambda = 2d \sin \theta \quad \lambda = \frac{2d \sin \theta}{n}$$

Where:

$n$  = order of diffraction (an integer)

$\lambda$  = wavelength of the incident X-ray

$d$  = interplanar spacing in the crystal

$\theta$  = angle of incidence (Bragg angle)

When the path difference between reflected X-rays from adjacent atomic planes is a whole number multiple of the X-ray wavelength, **constructive interference** occurs, producing a diffraction peak. These peaks are measured to identify and characterize the crystal structure.

### 3. Components of an XRD Instrument

A typical XRD setup includes the following components:

#### 3.1 X-Ray Source

Usually a sealed X-ray tube containing copper (Cu), cobalt (Co), or molybdenum (Mo) as the target.

**Cu-K $\alpha$  radiation** ( $\lambda \approx 1.5406 \text{ \AA}$ ) is commonly used for most materials.

Generates X-rays when high-energy electrons strike the target.

#### 3.2 Sample Holder

Holds the powdered or thin-film sample in the path of the X-ray beam.

Samples must be flat and evenly spread for accurate measurements.



### **3.3 Goniometer**

## **SPECTROSCOPY II**

A precision instrument that rotates the sample and detector to vary the incident and diffracted angles ( $\theta$  and  $2\theta$ ).

Ensures proper alignment for scanning over a range of angles.

### **3.4 Detector**

Measures the intensity of the diffracted X-rays as a function of the angle  $2\theta$ .

Modern detectors include scintillation counters, semiconductor detectors, or 2D area detectors for faster data collection.

### **3.5 Data Processing System**

Converts raw data into a diffraction pattern.

Software compares experimental patterns to reference databases (e.g., ICDD PDF) for phase identification



## SPECTROSCOPY II



### 4. Types of XRD Techniques

#### 4.1 Powder X-Ray Diffraction (PXRD)

Used for powdered samples.

Provides phase identification, crystallinity, and lattice parameter information.

Common in mineralogy, pharmaceuticals, and materials science.

#### 4.2 Single-Crystal XRD

Used to determine the full 3D atomic structure of a single crystal.

Produces detailed crystallographic data like space group, atomic coordinates, and bond lengths.

Common in chemistry, molecular biology, and crystallography.

#### 4.3 Grazing Incidence XRD (GIXRD)

Used for thin films and surface layers.



Employs shallow angles to increase surface sensitivity.

#### **4.4 High-Resolution XRD (HRXRD)**

Used for epitaxial films and semiconductor materials.

Provides high-accuracy measurements of lattice mismatches and strain.

#### **4.5 In-Situ and Temperature-Dependent XRD**

Allows monitoring of phase changes during heating, cooling, or chemical reactions.

### **5. Applications of X-Ray Diffraction**

#### **5.1 Phase Identification**

By comparing diffraction patterns with standard databases, one can identify unknown crystalline materials.

Helps in analyzing mixtures of compounds, polymorphs, and impurities.

#### **5.2 Crystal Structure Determination**

In single-crystal XRD, the atomic positions are calculated from diffraction intensities.

Used to solve molecular structures of organic, inorganic, and metal-organic compounds.

#### **5.3 Determination of Crystallite Size and Microstrain**

The **Scherrer equation** is used to estimate crystallite size from the broadening of diffraction peaks:

$$D = \frac{K\lambda}{\beta \cos \theta}$$



## SPECTROSCOPY II

Where:

$\bar{D}$  = average crystallite size

$K$  = shape factor (usually  $\sim 0.9$ )

$\beta$  = full width at half maximum (FWHM) of the peak

$\lambda$  = X-ray wavelength

$\theta$  = Bragg angle

Microstrain can also be analyzed from peak broadening.

### ***5.4 Quantitative Phase Analysis***

XRD can be used to determine the proportion of different crystalline phases in a mixture.

Important in cement, metallurgy, and pharmaceuticals.

### ***5.5 Residual Stress and Texture Analysis***

Measures lattice distortions due to mechanical or thermal treatments.

Texture (preferred orientation of grains) influences mechanical and physical properties of materials.

### ***5.6 Study of Thin Films and Coatings***

Layer thickness, crystal orientation, and strain can be analyzed using specialized techniques like GIXRD and HRXRD.

### ***5.7 Polymorphism in Pharmaceuticals***

Identifies different crystalline forms (polymorphs) of drugs, which may have varying solubility and bioavailability.

Regulatory authorities require documentation of polymorphic forms.



### 5.8 Nanomaterials and Catalysts

## SPECTROSCOPY II

XRD helps assess nanocrystal size, crystallinity, and phase stability in nano-engineered materials.

## 6. Advantages of XRD

**Non-destructive:** Samples remain intact after analysis.

**Highly Accurate:** Provides precise crystallographic data.

**Fast and Reliable:** PXRD can quickly identify unknown materials.

**Quantitative Capabilities:** Can determine phase amounts and crystallite sizes

**Versatile:** Applicable to powders, thin films, single crystals, and bulk materials.

## 7. Limitations of XRD

**Requires Crystalline Material:** Amorphous materials do not give sharp diffraction peaks.

**Sample Preparation:** Must be uniform, well-ground, and free of texture for accurate results.

**Complex Mixtures:** Difficult to analyze when multiple phases are present at low concentrations.

**Limited Information on Amorphous Content:** Poor sensitivity to non-crystalline components.



## SPECTROSCOPY II

**Surface vs. Bulk Analysis:** Standard XRD provides bulk properties; surface features need specialized techniques.

### 8. Recent Advances in XRD

**Synchrotron XRD:** Extremely high brightness and resolution. Used in advanced research facilities for fast and ultra-sensitive analysis.

**In-situ XRD:** Studies real-time changes during heating, cooling, pressure variation, or reaction progression.

**2D and Area Detectors:** Rapid acquisition of diffraction patterns, especially for texture and thin-film analysis.

**Automated Rietveld Refinement:** Enables accurate crystal structure determination from powder data.

### 9. Safety and Handling of X-Rays

X-rays are a form of ionizing radiation and require proper shielding and safety measures. Instruments are enclosed, and interlocks prevent exposure.

Operators must be trained and certified in radiation safety where applicable.

### Summary

X-Ray Diffraction (XRD) is a cornerstone technique for analyzing crystalline materials. Its ability to reveal structural, phase, and morphological information makes it indispensable in research, quality control, and product development across many scientific disciplines. With continuous improvements in instrumentation and computational methods, XRD continues to expand its scope and resolution, contributing significantly to advancements in materials science, chemistry, geology, pharmaceuticals, and nanotechnology.

## Unit 2.3

### Application of XRD



SPECTROSCOPY II

#### Objectives

1. To understand the fundamental principles of X-ray diffraction and its role in material characterization.
2. To explain Bragg's Law and its application in determining crystal structures.
3. To differentiate between powder XRD and single-crystal XRD techniques.
4. To study the instrumentation and working of an X-ray diffractometer.
5. To explore applications of XRD in determining lattice parameters, crystallite size, and phase identification

## Introduction

**Electron Diffraction** is a powerful technique used to study the structure of matter at the atomic and molecular level. It is based on the wave-like behavior of electrons as described by **quantum mechanics**. When a beam of electrons passes through or is reflected by a crystalline or molecular structure, the electrons undergo diffraction due to their wave nature. This diffraction produces characteristic patterns that can be interpreted to gain insight into the **arrangement of atoms, bond lengths, interatomic distances, and crystal symmetry**.

Electron diffraction has become a cornerstone in structural analysis and is particularly valuable in cases where traditional X-ray diffraction may not be suitable, such as for **very small crystals, thin films, or gas-phase molecules**.

## 2. Principles of Electron Diffraction

### 2.1 Wave-Particle Duality of Electrons

According to **Louis de Broglie's hypothesis**, particles such as electrons exhibit wave-like behavior, with a wavelength given by:

$$\lambda = \frac{h}{mv} = \frac{h}{p} \quad \lambda = \frac{h}{mv} = \frac{h}{p}$$

Where:

$\lambda$  is the electron wavelength



## SPECTROSCOPY II

$h$  is Planck's constant

$p$  is the momentum

$m$  is the electron mass

$v$  is the velocity

When accelerated through a potential difference  $V$ , the kinetic energy  $eV = \frac{1}{2}mv^2$ , and the de Broglie wavelength becomes:

$$\lambda = \frac{h}{mv} = \frac{h}{\sqrt{2meV}}$$

For electrons accelerated at  $\sim 100$  keV, the wavelength is about 0.0037 nm, making them ideal for resolving atomic-scale features.

### 2.2 Bragg's Law

Electron diffraction obeys the same condition for constructive interference as X-ray diffraction, governed by Bragg's Law:

$$n\lambda = 2d \sin \theta$$

Where:

$d$  is the interplanar spacing in the crystal

$\theta$  is the angle of incidence

$n$  is an integer

## 3. Types of Electron Diffraction Techniques

### 3.1 Transmission Electron Diffraction (TED)

This technique is employed in **Transmission Electron Microscopy (TEM)**. A thin sample is bombarded with electrons, and the diffraction pattern is recorded on a detector.



## SPECTROSCOPY II

Suitable for nanocrystals and thin films.

Provides structural and crystallographic information.

Can analyze individual grains or domains.

### ***3.2 Selected Area Electron Diffraction (SAED)***

A region of interest is selected using an aperture in the TEM. SAED patterns help identify **phase** and **crystal orientation**.

### ***3.3 Reflection High-Energy Electron Diffraction (RHEED)***

Used in surface science. High-energy electrons (10–100 keV) impinge at a glancing angle on a surface

Ideal for **thin films** and **surface studies**.

Provides real-time feedback during crystal growth (e.g., MBE—Molecular Beam Epitaxy).

### ***3.4 Low-Energy Electron Diffraction (LEED)***

Uses low-energy electrons (20–200 eV) for surface crystallography.

High surface sensitivity.

Primarily used for studying **surface reconstructions** and **adsorbates**.

### ***3.5 Gas Electron Diffraction (GED)***

Used to determine the structure of **gaseous molecules**.

Provides **bond lengths** and **bond angles**.

Especially useful for unstable or reactive species that cannot be crystallized.



## SPECTROSCOPY II

### 4. Instrumentation

Electron diffraction setups vary based on the specific type, but common components include:

**Electron Gun:** Produces a coherent beam of electrons accelerated by a high voltage (10–300 kV).

**Sample Holder:** Holds thin crystalline or molecular samples.

**Aperture System:** For selecting specific areas (in SAED).

**Detector:** Phosphor screen, film, or CCD for capturing diffraction patterns.

**Vacuum System:** Prevents electron scattering by air molecules.

In a **Transmission Electron Microscope (TEM)**, electron diffraction and imaging are combined, allowing correlation between structure and morphology.

### 5. Interpretation of Electron Diffraction Patterns

Diffraction patterns typically consist of **spots** (for single crystals) or **rings** (for polycrystalline or powdered samples). Key features include:

**Ring Radii** → Interplanar spacing.

**Spot Position and Symmetry** → Crystal system and orientation.

**Intensity** → Atomic arrangement and occupancy.

**Absence or Presence of Reflections** → Space group and symmetry elements.

By analyzing the **geometry** and **intensity distribution**, one can determine

Unit cell parameters



Crystal symmetry and orientation

Atomic position

Defects, twins, and disorder

## **6. Applications of Electron Diffraction**

### ***6.1 Crystallography and Material Identification***

Determining crystal structures of nano- and micro-crystals.

Identifying unknown phases in complex mixtures.

Detecting polymorphs and verifying purity.

### ***6.2 Thin Film and Nanostructure Analysis***

Measuring strain, thickness, and orientation of thin films.

Monitoring surface structure during epitaxial growth (via RHEED).

Analysis of quantum dots, nanowires, and layered materials.

### ***6.3 Surface Science and Catalysis***

Studying adsorption and surface reactions using LEED.

Determining atomic arrangements on catalytic surfaces.

### ***6.4 Molecular Structure Determination***

Determining geometries of gas-phase molecules with GED.

Validating computational models of molecular structures.

### ***6.5 Defect and Domain Studies***

Mapping grain boundaries, dislocations, and stacking faults.

Understanding twinning, superstructures, and modulations.



## SPECTROSCOPY II

### 7. Advantages and Limitations

#### *Advantages*

High spatial resolution due to short electron wavelengths.

Small sample volumes required.

Sensitive to light elements (unlike XRD).

Can analyze individual grains or domains.

#### *Limitations*

Samples must be electron-transparent (thin enough).

Susceptible to beam damage.

Multiple scattering (dynamical diffraction) complicates data analysis.

Requires vacuum and advanced instrumentation.

### 8. Recent Developments and Advanced Techniques

**4D STEM:** Combines scanning TEM with diffraction at each pixel for structural mapping.

**Precession Electron Diffraction (PED):** Reduces dynamical effects to allow structure solution similar to XRD.

**Time-Resolved Electron Diffraction (TRED):** Tracks ultrafast structural dynamics using pulsed electron beams.

**Automated Crystal Orientation Mapping:** Used in nanocrystalline and textured materials.



These advances are pushing the boundaries of what electron diffraction can reveal, particularly in the realms of **quantum materials**, **biomolecular structures**, and **dynamic processes**.

## Summary

Electron diffraction is a versatile and powerful technique for investigating the structure of materials at the atomic scale. From **bulk crystalline solids** to **surfaces**, **thin films**, and **gaseous molecules**, it provides vital structural insights that complement other techniques like XRD and neutron diffraction. As technology continues to improve, electron diffraction is becoming even more precise, dynamic, and accessible, opening new possibilities in **materials science**, **nanotechnology**, **chemistry**, and **physics**.

## Summary : Mass Spectroscopy and X-Ray Diffraction

**Mass spectrometry (MS)** is an analytical technique used to determine the molecular weight and structure of compounds by ionizing chemical species and analyzing their mass-to-charge ratio ( $m/z$ ).

It provides precise molecular formula, fragmentation pattern, and structural information, especially useful for complex mixtures and trace analysis.

**X-ray diffraction (XRD)** is a powerful technique used to determine the crystal structure of solids by analyzing the diffraction pattern of X-rays interacting with a crystalline material.

XRD reveals lattice parameters, atomic arrangement, and phase identification, essential for materials science, mineralogy, and solid-state chemistry.



## SPECTROSCOPY II

Together, MS and XRD offer complementary information:  
MS for molecular composition and XRD for solid-state structure.

Here are **MCQs on Mass Spectroscopy and X-Ray Diffraction** with answers:

### MCQs: Mass Spectroscopy

**1. In mass spectrometry, the x-axis of the spectrum typically represents:**

- A. Absorbance
- B. Retention time
- C. Mass-to-charge ratio ( $m/z$ )
- D. Wavelength

**Answer:** C. Mass-to-charge ratio ( $m/z$ )

**2. The molecular ion peak in a mass spectrum corresponds to:**

- A. The smallest fragment
- B. The most stable ion
- C. The ion with highest intensity
- D. The ionized molecule with no fragmentation

**Answer:** D. The ionized molecule with no fragmentation

**3. Which component of a mass spectrometer is responsible for separating ions based on  $m/z$ ?**

- A. Ionization chamber
- B. Detector
- C. Mass analyzer
- D. Vacuum pump

**Answer:** C. Mass analyzer

**4. Which ionization technique is commonly used in mass spectrometry for large biomolecules like proteins?**

- A. Electron impact (EI)



## SPECTROSCOPY II

- B. Electrospray ionization (ESI)
- C. Photoionization
- D. Alpha decay

**Answer:** B. Electrospray ionization (ESI)

**5. Which of the following is *not* a typical use of mass spectrometry?**

- A. Molecular weight determination
- B. Structural elucidation
- C. Crystal lattice analysis
- D. Trace element detection

**Answer:** C. Crystal lattice analysis

### MCQs: X-Ray Diffraction (XRD)

**1. X-ray diffraction is based on which fundamental principle?**

- A. Electron deflection
- B. Bragg's Law
- C. Beer-Lambert Law
- D. Kirchhoff's Law

**Answer:** B. Bragg's Law

**2. In XRD, the angle at which constructive interference occurs is related to:**

- A. Ionization energy
- B. Frequency of X-rays
- C. Interplanar spacing of the crystal
- D. Electron affinity

**Answer:** C. Interplanar spacing of the crystal

**3. XRD is primarily used for the analysis of:**

- A. Gaseous mixtures
- B. Crystalline solids
- C. Organic liquids



## SPECTROSCOPY II

D. Aqueous solutions

**Answer:** B. Crystalline solids

### 4. What information does an XRD pattern provide?

A. Functional groups

B. Crystal structure and phase identification

C. Molecular weight

D. pH value

**Answer:** B. Crystal structure and phase identification

### 5. Which radiation is commonly used in laboratory XRD instruments?

A. Ultraviolet

B. Infrared

C. Cu K $\alpha$  X-rays

D. Gamma rays

**Answer:** C. Cu K $\alpha$  X-rays

## Short Answer Questions

1. What is the principle of mass spectrometry?
2. Define base peak and metastable peak in mass spectrometry.
3. What is the McLafferty rearrangement, and in which compounds does it occur?
4. How does the nitrogen rule help in mass spectrometry?
5. Explain  $\alpha$  and  $\beta$  cleavage in mass spectrometry.
6. Write the equation for Bragg's Law and explain its significance.
7. What are Miller indices, and why are they important in XRD?
8. Differentiate between Laue Method, Bragg Method, and Debye-Scherrer Method in XRD.
9. What is the phase problem in X-ray diffraction, and how is it solved?
10. How is XRD used in crystal structure determination?

## Long Answer Questions



## SPECTROSCOPY II

1. Describe the working principle of mass spectrometry and discuss its major components.
2. Explain the fragmentation patterns in mass spectrometry with suitable examples.
3. Discuss McLafferty rearrangement in detail, including its mechanism and significance.
4. How do  $\alpha$ ,  $\beta$ , allylic, and benzylic cleavages occur in mass spectrometry? Provide examples.
5. What is Bragg's Law? Explain its application in X-ray diffraction analysis.
6. Discuss the role of Miller indices and crystal planes in XRD analysis.
7. Compare Laue, Bragg, and Debye-Scherrer Methods of X-ray diffraction with their applications.
8. Explain the phase problem in XRD and the methods used to solve it.
9. What are structure factors and electron density maps? Explain their importance in crystallography.
10. Discuss the applications of XRD in determining absolute molecular configurations and its significance in structural chemistry.



## SPECTROSCOPY II

### Module 03

## Electron, Neutron Spectroscopy

### Unit - 3.1

## Electron diffraction

### Objectives

1. To understand the fundamental principles of electron and neutron spectroscopy.
2. To explore the interaction of electrons and neutrons with matter.
3. To study the instrumentation, working principles, and techniques of electron and neutron spectroscopy.
4. To learn applications of electron and neutron spectroscopy in structural, chemical, and surface analysis.
5. To compare electron and neutron spectroscopy with other spectroscopic methods in terms of sensitivity, resolution, and applicability.

### 3.1.1. Introduction

**Electron diffraction** is a technique based on the wave-like nature of electrons, used to study atomic and molecular structures. When electrons interact with a periodic array of atoms (like in a crystal), they scatter and form distinct **diffraction patterns**. These patterns reveal the **arrangement of atoms, interatomic distances, and crystal symmetry**.

Electron diffraction is widely used in **materials science, solid-state physics, chemistry, and surface science**. Compared to X-ray diffraction, electron diffraction is more sensitive to **light atoms** and requires **very small samples**, often in nanometer-scale thickness.

### 3.1.2. Theoretical Background

#### Wave-Particle Duality and de Broglie Hypothesis

Electrons behave like both particles and waves. According to de Broglie, any moving particle has an associated wavelength:

$$\lambda = \frac{h}{p} = \frac{h}{\sqrt{2meV}}$$

Where:

$h$  = Planck's constant ,  $m$  = mass of electron

$e$  = electron charge



## SPECTROSCOPY II

$V$  = accelerating voltage

$\lambda$  = wavelength of the electron

At typical voltages used in electron microscopes (100–300 keV), the electron wavelength is  $\sim 0.0037$  nm — much smaller than atomic spacings, making electrons ideal for studying atomic structures.

### Bragg's Law in Electron Diffraction

Like X-rays, electrons diffract from planes of atoms when:

$$n\lambda = 2d \sin \theta$$

Where:

$d$  = spacing between atomic planes

$\theta$  = angle of incidence/reflection

$n$  = order of diffraction

$\lambda$  = wavelength of incident electrons

## Types of Electron Diffraction

### 3.1 Transmission Electron Diffraction (TED)

Occurs in **Transmission Electron Microscopes (TEM)** when electrons pass through a thin sample.

Produces high-resolution diffraction patterns.

Used for studying **nanocrystals**, **grains**, and **interfaces**.

Patterns show sharp spots for single crystals and rings for polycrystalline samples.



## SPECTROSCOPY II

### 3.2 Selected Area Electron Diffraction (SAED)

A selected region within the sample is targeted using an aperture in TEM.

Analyzes specific crystal orientations or defects.

Identifies **crystalline phases** or **lattice distortions**.

### 3.3 Reflection High-Energy Electron Diffraction (RHEED)

Electrons strike the sample surface at a shallow angle.

Used for studying **surface structures**.

Real-time monitoring of thin film growth (e.g., in molecular beam epitaxy).

Patterns appear as streaks or spots depending on surface order.

### 3.4 Low-Energy Electron Diffraction (LEED)

Low-energy electrons (20–200 eV) are directed at surfaces.

Extremely **surface-sensitive** technique

Analyzes **surface crystallography** and **adsorbed layers**

### 3.5 Gas Electron Diffraction (GED)

Electron beams interact with **gas-phase molecules**

Determines **bond lengths** and **bond angles**.

Suitable for small or unstable molecules that can't form crystals.

#### 3.1.3. Instrumentation

The basic components of an electron diffraction system are:



**Electron Gun:** Generates a coherent beam of electrons using thermionic or field emission.

**Accelerating Voltage System:** Controls electron energy, typically 10–300 keV.

**Sample Holder:** Holds thin specimens (10–100 nm thick).

**Diffraction Chamber:** Maintains high vacuum.

**Detector:** Records diffraction patterns (fluorescent screens, films, or CCD cameras).

Modern systems combine **diffraction and imaging**, especially in **Transmission Electron Microscopes (TEM)**, enabling simultaneous structural and morphological analysis.

### 3.1.4. Data Interpretation

The electron diffraction pattern can be:

**Spot pattern:** Indicates a single crystal.

**Ring pattern:** Arises from polycrystalline materials.

**Streaks:** Suggest defects or disorder.

**Missing spots:** Reveal **symmetry elements** or **systematic absences**

Measurements include

**Interplanar spacing (d):** From ring radius or spot position

**Lattice parameters:** From multiple d-values

**Crystal orientation:** From angular relations of spots



## SPECTROSCOPY II

**Phase identification:** By comparing patterns with known materials

### 3.1.5. Applications of Electron Diffraction

#### Structural Analysis

Identifying crystal phases, space groups, and unit cells.

Measuring interatomic distances.

Studying **polymorphism** and **crystal defects**.

#### Nanomaterials and Thin Films

Characterizing grain boundaries and nanocrystal size.

Analyzing lattice strain and orientation in **thin films**.

Monitoring epitaxial growth in real-time (RHEED).

#### Surface Science

Investigating surface reconstructions and adsorption (LEED).

Real-time analysis of chemical reactions at surfaces.

#### Gas -Phase Molecules

Determining **molecular geometry** in GED.

Verifying theoretical and computational models of gas molecules.

#### Advanced Crystallography

Precession Electron Diffraction (PED) for solving unknown structures.

Automated crystal orientation mapping in polycrystalline materials.



## SPECTROSCOPY II

### 3.1.6. Advantages and Limitations

#### Advantages

Very **high resolution** (better than X-rays).

Requires **small sample quantities** (ideal for nano/microstructures).

Sensitive to **light elements** (e.g., hydrogen, oxygen).

Enables **real-time analysis** (in RHEED and LEED).

#### Limitations

Requires **ultra-thin samples** (for TED).

Can cause **beam damage**, especially in organic or biological materials.

**Multiple scattering** complicates interpretation.

Needs **high-vacuum systems** and advanced instrumentation

### 3.1.7 Future Directions and Innovations

Recent advances in electron diffraction include:

**4D-STEM (Scanning Transmission Electron Microscopy):**

Combines scanning with diffraction at each point to generate 3D maps.

**Time-Resolved Electron Diffraction (TRED):** Captures ultrafast dynamics in femtoseconds (e.g., phase transitions).



## SPECTROSCOPY II

**Cryo-Electron Diffraction:** Used for biomolecules and sensitive samples at low temperature.

**Electron Ptychography:** Achieves resolution below conventional limits by reconstructing phase and amplitude from diffraction patterns.

### Summary

Electron diffraction is a cornerstone technique in modern materials science and chemistry. It offers unique insights into the **atomic arrangement** and **crystalline structure** of materials across all states of matter. With the ability to investigate tiny samples with exceptional resolution, it continues to evolve and expand into new frontiers of **nanoanalysis**, **surface science**, and **molecular studies**.

Multiple Choice Questions (MCQ)

1. The experimental proof of the wave nature of electrons was given by:

- a) Newton
- b) Davisson and Germer
- c) Rutherford
- d) Bohr

Answer: b) Davisson and Germer

2. The wavelength of an electron is given by:

- a)  $\lambda = \frac{h}{mv}$
- b)  $\lambda = \frac{mv}{h}$
- c)  $\lambda = \frac{h}{E}$
- d)  $\lambda = \frac{E}{h}$

Answer: a)  $\lambda = \frac{h}{mv}$

3. Electron diffraction provides information about:

- a) Atomic number
- b) Crystal structure
- c) Nuclear stability
- d) Ionization potential

Answer: b) Crystal structure

4. The Davisson–Germer experiment confirmed:

- a) Particle nature of light
- b) Wave nature of electrons
- c) Existence of neutrons
- d) Photoelectric effect

Answer: b) Wave nature of electrons

5. The interplanar spacing of a crystal is related to the diffraction angle by:

- a)  $n\lambda = 2d \sin \theta$
- b)  $n\lambda = d \sin \theta$
- c)  $\lambda = d \sin \theta$
- d)  $\lambda = d \cos \theta$

Answer: a)  $n\lambda = 2d \sin \theta$



## SPECTROSCOPY II

### Short Answer Questions

1. Define electron diffraction.
2. State de Broglie's hypothesis and its significance in electron diffraction.
3. Write the expression for the de Broglie wavelength of an electron accelerated by a potential  $V$ .
4. Name the experiment that verified electron diffraction.
5. Differentiate between X-ray diffraction and electron diffraction.

### Long Answer Questions

1. Describe the Davisson–Germer experiment and explain how it verified the wave nature of electrons.
2. Derive the relation between the de Broglie wavelength of an electron and the accelerating potential applied to it.
3. Explain how electron diffraction can be used to determine the crystal structure of solids.
4. Discuss the applications of electron diffraction techniques (LEED, TEM, SAED) in material science.
5. Compare electron diffraction with X-ray diffraction, highlighting their similarities and differences in studying crystal structures.



## Unit 3.2

# Neutron Diffraction

### Objectives

1. To understand the principle and theory behind neutron diffraction.
2. To learn the differences between neutron diffraction and X-ray diffraction techniques.
3. To study the interaction of neutrons with atomic nuclei and magnetic moments.
4. To explore applications of neutron diffraction in determining crystal structures and magnetic structures.
5. To analyze the advantages and limitations of neutron diffraction in material characterization

### 1. Introduction

**Neutron diffraction** is a powerful technique for determining the **atomic and magnetic structure** of materials. Unlike X-rays, which interact with the electron cloud of atoms, neutrons interact directly with **atomic nuclei** and are sensitive to **magnetic moments**. This makes neutron diffraction particularly valuable in studying materials where X-ray diffraction (XRD) may fall short — such as in locating light atoms like **hydrogen**, distinguishing neighboring elements in the periodic table, or exploring **magnetic ordering**.

Neutron diffraction complements techniques like X-ray and electron diffraction and is widely used in **solid-state physics, chemistry, materials science, and crystallography**.



## 2. Theoretical Principles

### 2.1 Neutron Properties

**Neutral charge:** Neutrons penetrate deep into matter without being deflected by electrons or electric fields.

**Mass:** Comparable to a proton, enabling significant momentum transfer.

**Spin:**  $\frac{1}{2}$ , giving them a magnetic moment—allowing magnetic structure probing.

### 2.2 Wave-Particle Duality

Neutrons exhibit wave-like properties. The **de Broglie wavelength** ( $\lambda$ ) of a neutron is given by:

$$\lambda = \frac{h}{p} = \frac{h}{\sqrt{2mE}}$$

Where:

$h$ : Planck's constant

$m$ : mass of neutron

$E$ : neutron kinetic energy

Typical neutron wavelengths used are in the range of **0.1 – 1.0 Å**, ideal for studying atomic-scale structures.

### 2.3 Bragg's Law

Neutron diffraction follows **Bragg's law**, similar to X-ray diffraction:

$$n\lambda = 2d \sin \theta$$

Where:



## SPECTROSCOPY II

$d$ : distance between crystal planes

$\theta$ : incident angle

$\lambda$ : neutron wavelength

$n$ : order of diffraction

### 3. Sources of Neutrons

Neutrons are not emitted by conventional X-ray tubes. Specialized sources are used:

#### 3.1 Nuclear Reactors

Most common neutron sources.

Neutrons are produced from **fission reactions** (e.g., U-235).

Emitted neutrons are moderated (slowed) to thermal energies for diffraction.

#### 3.2 Spallation Sources

High-energy protons strike a heavy metal target (like tungsten), releasing neutrons.

Pulsed in nature — useful for **time-of-flight** measurements.

Facilities like **ISIS** (UK) and **SNS** (USA) use this method.

### 4. Types of Neutron Diffraction

#### 4.1 Powder Neutron Diffraction

Used for **polycrystalline materials**.



Measures average structure across many crystallites.

Provides **phase identification, lattice parameters, and magnetic structure**

## 4.2 Single Crystal Neutron Diffraction

Measures **3D diffraction** pattern from a single crystal.

Gives highly detailed data on **atomic positions, thermal vibrations, and electron density**

## 4.3 Time-of-Flight Neutron Diffraction

Employs pulsed neutrons and measures time they take to reach detectors.

Allows use of a broad range of wavelengths simultaneously.

Useful for large unit cells or low-symmetry systems

## 4.4 Neutron Laue Diffraction

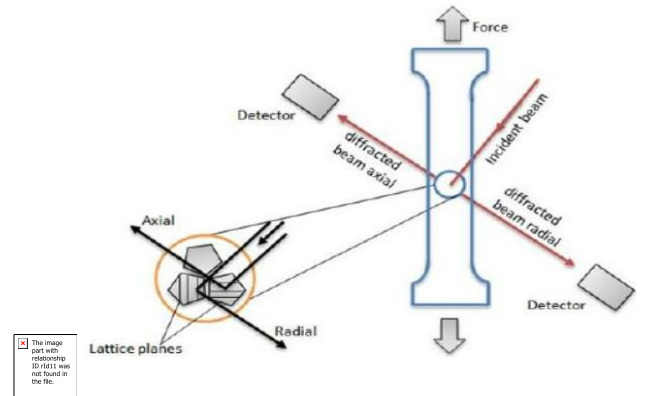
Uses a continuous spectrum of neutrons.

Well suited for quick orientation studies and large unit cell materials.

## 5. Instrumentation and Experimental Setup



## SPECTROSCOPY II



A typical neutron diffraction instrument consists of:

**Neutron Source:** Reactor or spallation.

**Monochromator:** Selects desired neutron wavelength (crystal or TOF system).

**Sample Holder:** Allows rotation and positioning, often under controlled temperature or pressure.

**Detector Array:** Captures scattered neutrons over a range of angles.

**Collimators and Shields:** Reduce background noise and ensure beam directionality.

Advanced setups also include:

**Cryostats** for low-temperature measurements

**Magnets** for magnetic studies

**Pressure cells** for high-pressure phase analysis

## 6. Applications of Neutron Diffraction

### 6.1 Determination of Crystal Structure



Accurate identification of **atomic positions**.

Especially useful when light atoms like **hydrogen**, **deuterium**, or **lithium** are involved.

Used to refine complex **organic**, **inorganic**, and **organometallic** structures.

## 6.2 Magnetic Structure Analysis

Neutrons have a magnetic moment, allowing direct interaction with **electron spins**. This enables:

Determining **magnetic ordering** (ferro-, antiferro-, ferrimagnetism).

Investigating **spin arrangements** and **magnetic domains**.

Studying **magneto-structural phase transitions**

## 6.3 Phase Identification and Quantification

Differentiates similar crystal phases.

Tracks **phase transitions** with temperature, pressure, or composition changes.

## 6.4 Residual Stress and Strain Measurement

Non-destructive measurement of internal stress in metals, ceramics, and composites.

Applied in engineering, aerospace, and automotive industries.

## 6.5 Hydrogen Bonding and Hydride Analysis

Unlike X-rays, neutrons can locate **hydrogen atoms** precisely.



## SPECTROSCOPY II

Critical in studying **water, biological macromolecules, and fuel cell materials**

### 6.6 High-Pressure and Low-Temperature Studies

Neutron diffraction is used under **extreme conditions** to understand

Superconductivity

Phase transitions

Planetary core models

### 7. Comparison with Other Techniques

| Feature                      | Neutron<br>Diffraction | X-ray<br>Diffraction | Electron<br>Diffraction   |
|------------------------------|------------------------|----------------------|---------------------------|
| Interaction with             | Atomic nuclei          | Electron clouds      | Electrons and atoms       |
| Sensitivity to light atoms   | High (e.g., H, D, Li)  | Low                  | Moderate                  |
| Magnetic structure detection | Yes                    | No                   | Limited                   |
| Sample penetration           | Deep (cm range)        | Moderate             | Shallow (nm range)        |
| Radiation damage             | Low                    | Low                  | High (especially organic) |
| Facility availability        | Limited (nuclear)      | Widespread           | Moderate                  |

### 8. Limitations



**Limited Access:** Requires large facilities (reactors or spallation sources).

**Cost and Complexity:** Expensive to operate and maintain

## SPECTROSCOPY II

**Low Flux:** Data collection can take hours to days.

**Safety Concerns:** Requires radiation shielding and special licensing.

**Sample Size:** Requires relatively large and pure samples compared to XRD.

## 9. Case Studies and Examples

### 1. Hydrogen in Ice and Hydrides

Neutron diffraction revealed positions of hydrogen atoms in **ice Ih**, vital for climate and planetary science.

Used to study hydrogen storage materials (metal hydrides, complex hydrides).

### 2. Magnetic Ordering in Manganites and Perovskites

Identified complex antiferromagnetic and ferromagnetic arrangements.

Essential for understanding **colossal magnetoresistance**.

### 3. Battery Materials

Tracked lithium diffusion pathways in solid electrolytes and cathode materials.

Informs design of **solid-state batteries**.



## SPECTROSCOPY II

### Summary

Neutron diffraction stands out as a **non-destructive, versatile, and insightful** method for probing both **structural** and **magnetic** features of materials. Its unique sensitivity to light atoms and magnetic moments makes it irreplaceable in fields ranging from **condensed matter physics** to **chemistry, materials engineering**, and **energy storage**.

Despite its logistical and infrastructural demands, neutron diffraction remains a gold standard for cutting-edge structural investigations, complementing other diffraction and spectroscopic techniques.

### MCQs

1. Neutron diffraction is primarily used to study:

- a) Electron distribution
- b) Nuclear positions and magnetic structures
- c) Optical properties of crystals
- d) Thermal conductivity

Answer: b) Nuclear positions and magnetic structures

2. Neutrons interact with matter mainly through:

- a) Electron cloud
- b) Atomic nuclei
- c) Photons
- d) Valence electrons

Answer: b) Atomic nuclei

3. Which of the following is an advantage of neutron diffraction over X-ray diffraction?

- a) Greater penetration depth
- b) Sensitivity to light atoms like hydrogen
- c) Ability to study magnetic ordering
- d) All of the above

Answer: d) All of the above

4. The governing equation of neutron diffraction is:

- a) Heisenberg's equation
- b) Schrödinger's equation
- c) Bragg's Law
- d) Einstein's equation

Answer: c) Bragg's Law

5. Neutron sources used for diffraction studies are generally:

- a) Gas discharge lamps
- b) Nuclear reactors and spallation sources
- c) Lasers
- d) Synchrotrons

Answer: b) Nuclear reactors and spallation sources



## Short Answer Questions

1. What is the principle of neutron diffraction?
2. Mention two major advantages of neutron diffraction over X-ray diffraction.
3. Why are neutrons suitable for studying magnetic structures?
4. Name two sources of neutrons used in diffraction experiments.
5. Explain briefly how neutron diffraction helps in locating hydrogen atoms in a crystal structure.

## Long Answer Questions

6. Discuss the theory of neutron diffraction, highlighting its similarities and differences with X-ray diffraction.
7. Explain the interaction of neutrons with atomic nuclei and magnetic moments. How does this help in structure determination?
8. Describe the applications of neutron diffraction in material science and biology. Give suitable examples.
9. Compare the advantages and limitations of neutron diffraction with X-ray and electron diffraction
10. Explain how neutron diffraction contributes to the study of superconductors and polymers.



## SPECTROSCOPY II

### Unit 3.3

## Mossbauer Spectroscopy

### Objectives

1. To understand the principle of recoil-free gamma-ray resonance absorption (Mössbauer effect).
2. To study hyperfine interactions: isomer shift, quadrupole splitting, and magnetic hyperfine splitting.
3. To explore applications of Mössbauer spectroscopy in chemistry, physics, metallurgy, geology, and biology.
4. To analyze oxidation states, electronic configurations, and local environments of nuclei.
5. To relate Mössbauer spectra to structural, magnetic, and electronic properties of materials.

### 3.3.1. Introduction

**Mössbauer Spectroscopy** is a highly precise and sensitive spectroscopic technique based on the **resonance absorption of gamma rays** by atomic nuclei bound in a solid. It was discovered by **Rudolf Mössbauer** in 1958 and earned him the Nobel Prize in Physics in 1961. The method enables the investigation of **hyperfine interactions** in a nucleus, providing critical information about **electronic, structural, and magnetic properties** of materials at the atomic level.

This technique has found profound applications in fields such as **solid-state physics, chemistry, geology, material science, metallurgy**, and even **space exploration** (Mars rovers).

### 3.3.2. Principle of Mössbauer Spectroscopy

#### Resonance Absorption

When a nucleus emits or absorbs a **gamma ray**, it typically recoils, which results in an **energy shift** and makes resonance absorption inefficient. However, if the nucleus is part of a **crystal lattice**, the recoil momentum can be absorbed by the **entire lattice**, making the recoil negligible. This

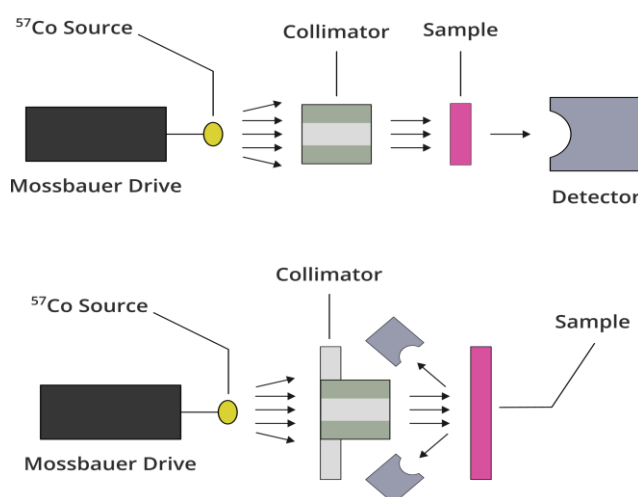
**recoil-free emission and absorption** is known as the **Mössbauer Effect**.

In a solid, recoil-free fraction or **Lamb-Mössbauer factor (f)** is significant, enabling observable resonance.

## Doppler Shift

Since the resonance energy is extremely narrow (about  $10^{-8}$  eV), a **Doppler velocity** is used to fine-tune the source energy. By moving the gamma-ray source relative to the absorber, the energy of emitted photons can be varied precisely:

## Instrumentation



A typical Mössbauer spectrometer consists of:

**Gamma-Ray Source:** Radioactive source like  $^{57}\text{Co}$ , which decays to the Mössbauer-active nucleus  $^{57}\text{Fe}$ .

**Velocity Drive System:** Precisely controls the relative motion (Doppler shift) between the source and absorber.

**Absorber (Sample):** Material under study, containing the Mössbauer isotope.



## SPECTROSCOPY II

**Detector:** Measures the transmitted gamma rays (typically a scintillation or proportional counter).

**Multichannel Analyzer (MCA):** Plots the gamma ray intensity vs. Doppler velocity.

A **vibration-free, temperature-controlled environment** is crucial, as thermal motion affects the recoil-free fraction.

### Hyperfine Interactions

Mössbauer spectra are sensitive to small energy shifts caused by interactions between the nucleus and its **electronic environment**. These are known as **hyperfine interactions**, and they result in **splitting** or **shifting** of the resonance line.

### Isomer Shift (Chemical Shift)

Caused by the difference in **s-electron density** at the nucleus between source and absorber.

$$\delta = k(\rho_a - \rho_s)$$

Where:

$\rho_a, \rho_s$ : electron densities at absorber and source nuclei.

Indicates **oxidation state, bonding, and chemical environment**.

### Quadrupole Splitting

Occurs due to the interaction between the **electric field gradient (EFG)** and the **nuclear quadrupole moment**. It leads to a **doublet** in the Mössbauer spectrum.

Occurs in **asymmetric electron distributions**.

Sensitive to **distortion** and **ligand symmetry**.



## SPECTROSCOPY II

### Magnetic Hyperfine Splitting

Result of interaction between the **nuclear magnetic moment** and an **internal or applied magnetic field**.

Leads to **Zeeman splitting** of levels.

In  $^{57}\text{Fe}$ , gives a characteristic **sextet** in the spectrum.

Reveals **magnetic ordering**, **ferro/antiferromagnetism**, and **spin states**.

### Mössbauer Isotopes

While several isotopes exhibit the Mössbauer effect, only a few are commonly used due to practical constraints:

| Isotope           | Parent Source             | Application Area                              |
|-------------------|---------------------------|-----------------------------------------------|
| $^{57}\text{Fe}$  | $^{57}\text{Co}$          | Iron-containing compounds, minerals           |
| $^{119}\text{Sn}$ | $^{119\text{m}}\text{Sn}$ | Organotin compounds, $\text{SnO}_2$ catalysts |
| $^{151}\text{Eu}$ | Reactor-produced          | Phosphors, magnetic materials                 |
| $^{121}\text{Sb}$ | Reactor-produced          | Semiconductors, alloys                        |
| $^{197}\text{Au}$ | Reactor-produced          | Chemistry of gold complexes                   |

### Applications of Mössbauer Spectroscopy

#### Solid -State Chemistry

Distinguishing oxidation states (e.g.,  $\text{Fe}^{2+}$  vs.  $\text{Fe}^{3+}$ ).

Identifying structural changes in **zeolites**, **oxides**, and **silicates**.



## SPECTROSCOPY II

Probing **metal–ligand interactions** in coordination compounds.

### 6.2 Magnetism and Spin States

Analyze **magnetic ordering** in iron-based materials.

Detect spin transitions (e.g., in Fe(II) complexes).

Used in **spin crossover** and **high-spin/low-spin** analysis.

### 6.3 Mineralogy and Geochemistry

Determines **iron speciation** in minerals like hematite, magnetite, and goethite.

Used in **planetary science**: Mössbauer spectrometers aboard Mars rovers (e.g., **Spirit**, **Opportunity**) helped identify iron-bearing minerals.

### 6.4 Metallurgy

Characterize **steel and alloy** phases.

Study phase transformations (e.g., austenite to martensite).

Identify **corrosion products** on iron surfaces.

### 6.5 Nano-materials and Catalysis

Identify **active sites** in iron-containing catalysts.

Study size effects in **magnetic nanoparticles**.

Monitor oxidation and reduction in catalytic processes

### 6.6 Biological Application

Study **iron metabolism** in proteins like **hemoglobin**, **ferritin**, and **cytochromes**

Understand metal roles in **enzymes** and **metallo-drugs**



**SPECTROSCOPY II**

## **Mössbauer Spectrum Interpretation**

A typical Mössbauer spectrum shows absorption intensity vs. Doppler velocity. Key features include:

**Singlet:** A single peak, often indicating symmetrical environment or zero field gradient.

**Doublet:** Two peaks from quadrupole splitting, common in non-cubic environments.

**Sextet:** Six peaks from magnetic splitting, characteristic of magnetic materials.

From the spectrum, one can extract:

**Isomer shift ( $\delta$ ):** Indicates electron density and oxidation state.

**Quadrupole splitting ( $\Delta E_Q$ ):** Gives symmetry and bonding info.

**Hyperfine field ( $B_{hf}$ ):** Indicates internal magnetic field strength.

## **Advantages and Limitations**

### **Advantages**

**High sensitivity** to small changes in electronic/magnetic environment

**Non-destructive** and requires **minimal sample preparation**

Works on **powders, thin films, solids, and biological samples**



## SPECTROSCOPY II

Provides both **chemical** and **magnetic** information

### Limitation

Limited to nuclei showing the **Mössbauer effect**.

Requires **radioactive sources**, needing safety protocols.

Less suited for light elements (e.g., carbon, oxygen, hydrogen)

Generally **bulk analysis** — limited spatial resolution.

### Recent Advances

**Synchrotron Mössbauer Spectroscopy (SMS):** Uses synchrotron radiation to perform **nuclear forward scattering**, offering ultra-fast and high-resolution data.

**In situ Mössbauer:** Enables real-time analysis during **reactions**, **thermal cycling**, or **mechanical stress**.

**Cryogenic Mössbauer Spectroscopy:** Studies temperature-dependent transitions like **spin crossover**.

### Summary

Mössbauer Spectroscopy is a uniquely powerful method for investigating subtle electronic, structural, and magnetic features at the atomic level. Its ability to differentiate oxidation states, coordination environments, and spin states with high precision makes it indispensable in a wide range of disciplines. Although restricted to select isotopes, the depth of information provided by Mössbauer spectroscopy — particularly for **iron**, **tin**, and **europium** is unmatched. As instrumentation advances and complementary techniques emerge, Mössbauer spectroscopy remains a cornerstone in inorganic chemistry, solid-state physics, geoscience, and materials

## Unit 3.4



### SPECTROSCOPY II

#### Mossbauer Spectroscopy's Applications

Here are **comprehensive notes** on the **Applications of Mössbauer Spectroscopy**, structured like a section in a textbook or study material. These notes highlight the key domains where Mössbauer spectroscopy plays a crucial role, making it ideal for exam preparation or academic reference.

#### Applications of Mössbauer Spectroscopy

Mössbauer spectroscopy is a powerful analytical tool that utilizes the **recoil-free, resonant absorption and emission of gamma rays** in solids. It is particularly useful in studying materials that contain Mössbauer-active isotopes like  $^{57}\text{Fe}$ ,  $^{119}\text{Sn}$ ,  $^{151}\text{Eu}$ , and others. The technique offers **element-specific, oxidation state-sensitive, and magnetically-resolved information**, making it widely applicable in a broad range of fields.

### 1. Solid-State and Inorganic Chemistry

#### Oxidation State Identification

Mössbauer spectroscopy detects different **oxidation states** by measuring the **isomer shift**

Common application: Differentiating between  $\text{Fe}^{2+}$  and  $\text{Fe}^{3+}$  in minerals or compounds.

**Example:**



## SPECTROSCOPY II

In iron oxides like magnetite ( $\text{Fe}_3\text{O}_4$ ), Mössbauer spectra show  $\text{Fe}^{2+}$  and  $\text{Fe}^{3+}$  in different coordination sites.

### Coordination Chemistry

Provides insights into the **ligand field**, **bond symmetry**, and **geometry** around the metal center via **quadrupole splitting**.

Useful in analyzing **organometallic** and **coordination compounds**.

### Structural Phase Transitions

Detects subtle structural transitions (e.g., crystalline to amorphous) that affect the **electron density** and **electric field gradient**.

## 2. Magnetic Materials and Magnetism

### Magnetic Ordering

Mössbauer spectroscopy reveals ferromagnetic, antiferromagnetic, or paramagnetic behavior by analyzing magnetic hyperfine splitting.

Application: Identifying and characterizing magnetic phases in alloys, oxides, and steels.

### Spin State Studies

Determines the high-spin or low-spin state of transition metal ions, crucial in understanding electronic configuration and reactivity.

Example: Spin crossover in  $\text{Fe(II)}$  complexes shows changes in splitting patterns with temperature

### Nanomagnetism



## SPECTROSCOPY II

Nanoparticles exhibit unique magnetic properties. Mössbauer can probe superparamagnetism, core-shell structures, and surface oxidation in Fe-based nanoparticles.

### 3. Geology and Planetary Science Iron Speciation in Minerals

Differentiates between  $\text{Fe}^{2+}$  and  $\text{Fe}^{3+}$ , and octahedral vs. tetrahedral coordination in minerals like:

Hematite ( $\text{Fe}_2\text{O}_3$ )

Goethite ( $\text{FeO}(\text{OH})$ )

Magnetite ( $\text{Fe}_3\text{O}_4$ )

### Mars and Planetary Exploration

NASA's Mars rovers **Spirit** and **Opportunity** used onboard Mössbauer spectrometers to analyze **Martian rocks** and **soil**, detecting iron minerals and **oxidation conditions**.

### Thermal and Pressure Effects in Minerals

Tracks how **temperature** and **pressure** affect iron-bearing silicates and oxides, aiding studies on **mantle composition** and **planetary interiors**.

## 4. Metallurgy and Materials Science

### Steel and Alloy Characterization

Identifies different **phases of steel** (e.g., austenite, martensite, bainite) and monitors **phase transitions** during heat treatment.



## SPECTROSCOPY II

### Corrosion Studie

Differentiates between iron corrosion products:

Magnetite ( $\text{Fe}_3\text{O}_4$ )

Hematite ( $\text{Fe}_2\text{O}_3$ )

Lepidocrocite ( $\gamma\text{-FeOOH}$ )

Goethite ( $\alpha\text{-FeOOH}$ )

### Amorphous and Crystalline Alloys

Assesses degree of crystallinity, short-range order, and internal strain in metallic glasses and nanostructured materials.

## 5. Catalysis

### Identification of Active Sites

Determines the **oxidation state**, **spin state**, and **coordination geometry** of iron or tin atoms involved in catalysis.

### Redox Processes

In situ Mössbauer studies can monitor **oxidation/reduction cycles** in catalytic reactions, especially for **Fe-containing catalysts** in:

Fischer-Tropsch synthesis

Hydrodesulfurization

Environmental catalysi

### Support Effects

Understands how support materials (e.g., zeolites, silica, alumina) affect the electronic environment of catalytic centers.



## SPECTROSCOPY II

### 6. Nanotechnology and Thin Films

#### Nanoparticle Surface Chemistry

Probes changes in **surface oxidation**, **size-dependent magnetic effects**, and **core-shell structures**

#### Thin Film Magnetism

Identifies **interfacial magnetic ordering** and **surface oxidation layers** in thin film materials.

### 7. Biology and Biochemistry

#### Iron-Containing Biomolecules

Mössbauer spectroscopy helps analyze **heme proteins** like:

Hemoglobin

Myoglobin

Cytochromes

#### Ferritin and Iron Storage

Determines the **valence state** and **coordination** of iron in **ferritin** and **siderophores**, crucial in iron metabolism.

#### Enzyme Mechanisms

Monitors redox and coordination changes of iron-containing enzymes during catalysis.



## SPECTROSCOPY II

### 8. Environmental Science

#### Soil and Sediment Studies

Identifies Fe phases in polluted soils, providing insight into **redox cycling**, **remediation** strategies, and **bioavailability**.

#### Aquatic Systems

Used to analyze **iron speciation** in lake and river sediments, important for understanding **nutrient cycling** and **eutrophication**.

### 9. Nuclear and Radiation Chemistry

#### Nuclear Fuel Analysis

Probes the oxidation state of actinide-containing materials and their stability under reactor conditions.

#### Radiation Effects

Evaluates structural and electronic changes in materials exposed to radiation.

### 10. Art and Archaeology

#### Provenance of Iron Artifact

Determines the composition and corrosion products in **ancient weapons, tools, and ornaments**

#### Pigment Analysis

Characterizes **iron oxide-based pigments** (e.g., ochres, hematite) in historic paintings and ceramics

### Summary Table of Applications



## SPECTROSCOPY II

| Field               | Applications                                      |
|---------------------|---------------------------------------------------|
| Inorganic Chemistry | Oxidation state, ligand environment, spin states  |
| Magnetism           | Hyperfine field, spin crossover, magnetic domains |
| Geology             | Iron mineralogy, oxidation states in rocks/soils  |
| Materials Science   | Phase analysis, corrosion, nanostructure studies  |
| Catalysis           | Redox cycles, active site analysis                |
| Biology             | Heme systems, iron storage proteins               |
| Environmental Sci.  | Soil remediation, sediment analysis               |
| Cultural Heritage   | Pigments, metallurgy in archaeology               |

### Summary

Mössbauer spectroscopy is a **multifaceted technique** that bridges chemistry, physics, geology, biology, and materials science. Its unmatched **sensitivity to local electronic, structural, and magnetic environments** makes it an essential tool for both fundamental research and applied science.

Although it is limited to Mössbauer-active isotopes, its depth of insight — particularly in  **$^{57}\text{Fe}$  systems** — remains unparalleled. With advancements in **in situ**, **low-temperature**, and **synchrotron-based** Mössbauer techniques, its range of applications continues to expand.

### Summary : Electron and Neutron Spectroscopy

**Electron spectroscopy** involves the interaction of electrons with matter to study surface composition, electronic states, and chemical environments (e.g., XPS and Auger spectroscopy).



## SPECTROSCOPY II

It is highly surface-sensitive and widely used for analyzing thin films, catalysts, and corrosion layers.

**Neutron spectroscopy** uses neutron scattering to investigate atomic motions, magnetic properties, and vibrational dynamics of materials.

Neutrons, being non-charged, deeply penetrate matter and are ideal for studying bulk structures and hydrogen-rich systems.

Both techniques are powerful in materials science for probing electronic and atomic-level phenomena that are often inaccessible by other methods.

Here are **MCQs on Electron and Neutron Spectroscopy** with answers:

### MCQs: Electron Spectroscopy

**1. Which of the following is an example of electron spectroscopy?**

- A. UV-Vis Spectroscopy
- B. X-ray Photoelectron Spectroscopy (XPS)
- C. IR Spectroscopy
- D. Mass Spectrometry

**Answer:** B. X-ray Photoelectron Spectroscopy (XPS)

**2. Electron spectroscopy is primarily used to analyze:**

- A. Deep bulk composition
- B. Gas-phase reactions
- C. Surface chemical composition
- D. DNA sequencing

**Answer:** C. Surface chemical composition

**Auger Electron Spectroscopy (AES) is most sensitive to:**

- A. Bulk atoms
- B. Deep energy levels



## SPECTROSCOPY II

- C. Surface atoms
- D. Molecular weight

**Answer:** C. Surface atoms

**4. In XPS, the energy of the ejected electron is used to determine:**

- A. Absorption coefficient
- B. Binding energy of the electron
- C. Fluorescence lifetime
- D. Atomic radius

**Answer:** B. Binding energy of the electron

**5. Electron spectroscopy typically requires which condition?**

- A. High temperature
- B. High vacuum
- C. High pressure
- D. UV radiation

**Answer:** B. High vacuum

## MCQs: Neutron Spectroscopy

**1. Neutron spectroscopy primarily studies:**

- A. Electronic transitions
- B. Vibrational and magnetic properties
- C. Light absorption
- D. Surface chemistry

**Answer:** B. Vibrational and magnetic properties

**2. Which property makes neutrons suitable for studying hydrogen in materials?**

- A. Charge neutrality
- B. High energy
- C. High absorption cross-section for carbon



## SPECTROSCOPY II

D. Low speed

**Answer:** A. Charge neutrality

**3. Which of the following is a common application of neutron spectroscopy?**

- A. Color analysis
- B. Monitoring photosynthesis
- C. Probing atomic dynamics in solids
- D. Detecting pH changes

**Answer:** C. Probing atomic dynamics in solids

**4. Neutron spectroscopy differs from X-ray methods in that it:**

- A. Cannot penetrate matter
- B. Only works with gases
- C. Penetrates deeply into materials
- D. Only detects valence electrons

**Answer:** C. Penetrates deeply into materials

**5. Which of the following facilities is typically required for neutron spectroscopy?**

- A. Solar observatory
- B. Synchrotron light source
- C. Nuclear reactor or spallation source
- D. Optical laser lab

**Answer:** C. Nuclear reactor or spallation source

### Short Answer Questions

1. What is the basic principle of electron diffraction?
2. Define the Wierl equation and its significance in structure elucidation.
3. What is Low-Energy Electron Diffraction (LEED), and what is its main application?



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4. Explain the difference between X-ray diffraction and neutron diffraction.
5. How does magnetic scattering occur in neutron diffraction?
6. What is the Mössbauer effect, and why is it significant?
7. How can Mössbauer spectroscopy be used to distinguish between  $\text{Fe}^{2+}$  and  $\text{Fe}^{3+}$ ?
8. What is the role of isomer shift in Mössbauer spectroscopy?
9. Describe the structure determination process of magnetically ordered systems using neutron diffraction.
10. What is the importance of neutron diffraction in the study of hydrogen-containing materials?

### Long Answer Questions

1. Explain the working principle of electron diffraction and describe its applications in structural analysis.
2. Describe Low-Energy Electron Diffraction (LEED) and its use in surface structure determination.
3. Compare and contrast neutron diffraction and X-ray diffraction with respect to principles, techniques, and applications.
4. Discuss the significance of magnetic scattering in neutron diffraction and its applications in studying magnetic materials.
5. Explain the Mössbauer effect in detail and describe the key parameters used in Mössbauer spectroscopy.
6. How can Mössbauer spectroscopy be used to determine the bonding and oxidation states in  $\text{Fe}^{2+}$  and  $\text{Fe}^{3+}$  compounds?
7. Describe the role of isomer shift, quadrupole splitting, and hyperfine interactions in Mössbauer spectra.
8. What are the advantages of neutron diffraction over other diffraction techniques in studying biological and polymeric materials?
9. Explain the importance of structure determination of magnetically ordered systems using neutron diffraction.
10. Discuss the applications of Mössbauer spectroscopy in metal-ligand bonding analysis, particularly in  $\text{Sn}^{2+}$  and  $\text{Sn}^{4+}$  compounds.

# Module 04

## Atomic Spectroscopy



### Unit 4.1

### SPECTROSCOPY II

#### Atomic Absorption Spectroscopy (AAS)

##### Objectives

By the end of this topic, students should be able to:

1. Understand the basic principle of Atomic Absorption Spectroscopy.
2. Explain the components and working of an AAS instrument.
3. Differentiate between flame and graphite furnace AAS.

#### INTRODUCTION

Atomic Absorption Spectroscopy (AAS) is a highly sensitive analytical technique used to determine the concentration of metallic elements in a wide variety of sample types. The method relies on the absorption of light by free, ground-state atoms and is particularly valuable for detecting trace metals in complex matrices. Developed in the mid-20th century, AAS has become a cornerstone in environmental, pharmaceutical, clinical, food, and metallurgical analyses.

#### 2. Principle of AAS

The principle of Atomic Absorption Spectroscopy is based on the fact that atoms can absorb ultraviolet or visible light and make transitions to higher electronic energy levels. When a solution containing a metal ion is introduced into a flame or furnace, it gets converted to free atoms. A light beam of specific wavelength, emitted by a hollow cathode lamp (specific to the metal), passes through the vaporized sample. The amount of light absorbed by the atoms is measured, which is directly proportional to the concentration of the element in the sample, following the Beer-Lambert law:

$$A = \epsilon cl$$

Where:



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$A$  = absorbance

$\epsilon$  = molar absorptivity

$c$  = concentration

$l$  = path length

### 3. Instrumentation

The basic components of an AAS system include:

#### 3.1 Radiation Source

A hollow cathode lamp (HCL) emits the characteristic line spectra of the element to be analyzed. Each element requires a specific lamp.

#### 3.2 Atomizer

The sample is atomized in a flame or graphite furnace. The flame atomizer uses a fuel-oxidant mixture, while the graphite furnace atomizer offers higher sensitivity.

#### 3.3 Monochromator

This optical device isolates the specific wavelength of interest from the light emitted by the source.

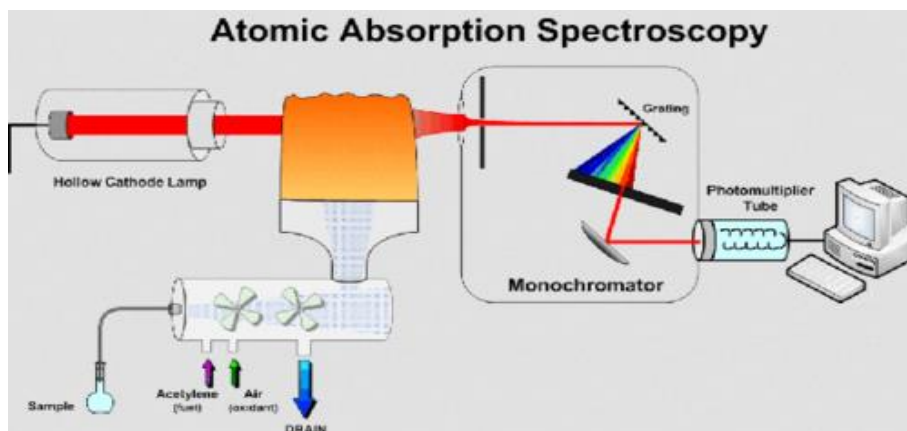
#### 3.4 Detector

Usually a photomultiplier tube, the detector measures the intensity of the transmitted light and converts it into an electrical signal.

#### 3.5 Readout Device

A digital display or computer interface provides the quantitative results by comparing sample absorbance to calibration standards.

## 4. Types of AAS



### 4.1 Flame AAS

The most commonly used method; suitable for determining moderate concentrations of elements.

### 4.2 Graphite Furnace AAS

Used for trace-level analysis (ppb levels). It offers higher sensitivity and requires smaller sample volumes.

### 4.3 Hydride Generation AAS

This technique is used for elements like arsenic, selenium, and antimony which form volatile hydrides.

### 4.4 Cold Vapor AAS

Specifically used for mercury analysis. Mercury is vaporized and its absorption is measured.

## 5. Sample Preparation



## SPECTROSCOPY II

Proper sample preparation is crucial for accurate results. Techniques include dilution, digestion with acids, filtration, and sometimes complexation depending on the sample matrix.

### 6. Applications

#### 6.1 Environmental Analysis

Used for detecting heavy metals in water, soil, and air samples.

#### 6.2 Food and Beverages

Determination of trace metals in food products ensures safety and compliance with health standards.

#### 6.3 Clinical and Biomedical Fields

Measures essential and toxic metals in biological fluids such as blood and urine.

#### 6.4 Pharmaceutical Industry

Used to check metal impurities in raw materials and final drug formulations.

#### 6.5 Industrial and Metallurgical Applications

Determines metal concentrations in ores, alloys, and industrial waste.

### 7. Advantages

High sensitivity and specificity

Wide applicability to different sample types

Relatively simple and rapid

Cost-effective for single-element analysis



## 8. Limitations

Usually limited to single-element analysis at a time

Requires element-specific hollow cathode lamps

Potential for chemical and spectral interferences

## 9. Interferences and Corrections

### 9.1 Spectral Interferences

Minimized by using high-resolution monochromators and background correction techniques.

### 9.2 Chemical Interferences

Corrected by using releasing agents, protective agents, or modifying flame conditions.

### 9.3 Ionization Interferences

Suppressed by adding ionization buffers like potassium or cesium.

## 10. Recent Advances

Zeeman and Smith-Hieftje background correction methods

Coupling AAS with chromatographic techniques for speciation studies

Development of multi-element lamps and automatic

## 11. Summary

Atomic Absorption Spectroscopy is a robust, precise, and widely accepted technique for the quantitative determination of metals. Despite the



## SPECTROSCOPY II

emergence of advanced techniques like ICP-MS and ICP-OES, AAS remains indispensable due to its simplicity, affordability, and reliability for routine elemental analysis.



### Summary:

#### Atomic Spectroscopy

**Atomic spectroscopy** involves the study of electromagnetic radiation absorbed or emitted by atoms to determine elemental composition.

The main techniques include **Atomic Absorption Spectroscopy (AAS)**, **Atomic Emission Spectroscopy (AES)**, and **Atomic Fluorescence Spectroscopy (AFS)**.

It is based on electronic transitions between discrete energy levels in atoms.



## SPECTROSCOPY II

Atomic spectroscopy is highly sensitive and widely used for detecting trace metals in environmental, biological, and industrial samples.

Each element gives a characteristic spectral line, allowing for qualitative and quantitative analysis.

### MCQs: Atomic Spectroscopy

**1. Atomic spectroscopy is primarily used for:**

- A. Measuring molecular weight
- B. Studying vibrational transitions
- C. Elemental analysis
- D. Determining melting point

**Answer:** C. Elemental analysis

**2. Which of the following is *not* a type of atomic spectroscopy?**

- A. Atomic Absorption Spectroscopy (AAS)
- B. Atomic Emission Spectroscopy (AES)
- C. Atomic Fluorescence Spectroscopy (AFS)
- D. Nuclear Magnetic Resonance (NMR)

**Answer:** D. Nuclear Magnetic Resonance (NMR)

**3. In atomic absorption spectroscopy (AAS), atoms in the ground state absorb:**

- A. Ultraviolet light
- B. Infrared radiation
- C. Specific wavelengths of light
- D. Heat only

**Answer:** C. Specific wavelengths of light



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**4. What is the energy source used to excite atoms in Atomic Emission Spectroscopy (AES)?**

- A. Laser
- B. Radiation source
- C. Flame or plasma
- D. Solvent

**Answer:** C. Flame or plasma

**5. Which of the following atomic spectroscopy techniques is based on the emission of photons from excited atoms?**

- A. AAS
- B. AES
- C. IR
- D. UV-Vis

**Answer:** B. AES

**6. In AAS, the element-specific radiation is usually provided by:**

- A. Tungsten lamp
- B. Deuterium lamp
- C. Hollow cathode lamp
- D. Mercury vapor lamp

**Answer:** C. Hollow cathode lamp

**7. The role of a flame or graphite furnace in AAS is to:**

- A. Measure radiation intensity
- B. Ionize the sample completely
- C. Atomize the sample
- D. Cool the detector

**Answer:** C. Atomize the sample

**8. Atomic Fluorescence Spectroscopy (AFS) detects:**

- A. Absorbed radiation
- B. Emitted fluorescence from excited atoms
- C. Vibrational bands



D. Chemical shifts

**Answer:** B. Emitted fluorescence from excited atoms

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**9. Which factor influences the intensity of atomic absorption?**

- A. Molecular mass
- B. Number of atoms in the optical path
- C. Boiling point
- D. Sample color

**Answer:** B. Number of atoms in the optical path

**10. Which type of sample is most suitable for analysis by atomic spectroscopy?**

- A. Pure solids
- B. Aqueous or dissolved samples
- C. Gaseous hydrocarbons
- D. Insoluble plastics

**Answer:** B. Aqueous or dissolved samples

### Short Questions

1. Define Atomic Absorption Spectroscopy (AAS).
2. What is the role of a hollow cathode lamp in AAS?
3. Mention two differences between flame AAS and graphite furnace AAS.
4. List two applications of AAS in pharmaceutical analysis.
5. What is the principle of AAS?

### Long Questions

1. Explain the working principle and instrumentation of Atomic Absorption Spectroscopy with a neat diagram.
2. Discuss atomization techniques used in AAS. Compare flame atomization and graphite furnace atomization.
3. Describe the applications and limitations of AAS in pharmaceutical and environmental analysis.
4. Explain how quantitative analysis is performed using AAS.
5. Compare AAS with Atomic Emission Spectroscopy (AES) in terms of principle, sensitivity, and applications.



## Symmetry and Group Theory

### Unit 5.1

#### Symmetry Elements and Operations

##### Objectives

1. To understand the basic concepts of symmetry elements and symmetry operations.
2. To classify molecules based on their symmetry properties into point groups.
3. To learn the fundamentals of group theory and its application in chemistry.

##### 5.1.1 INTRODUCTION

Symmetry plays a pivotal role in understanding molecular structures, predicting spectroscopic behavior, and explaining chemical bonding. It is the foundation of group theory in chemistry, which provides a mathematical framework to describe molecular symmetry. Symmetry in molecules is defined through the presence of specific symmetry elements and corresponding symmetry operations. Understanding symmetry elements and operations helps in the classification of molecules into point groups, which further aids in vibrational analysis, orbital interactions, and selection rules in spectroscopy.

##### 5.1.2. SYMMETRY ELEMENTS AND SYMMETRY OPERATIONS

A **symmetry element** is a geometrical entity—such as a point, line, or plane—about which a symmetry operation is performed. A **symmetry operation** is an action that moves the molecule into a configuration indistinguishable from the original.

##### IDENTITY

**Symmetry Element:** None (conceptual)

**Operation:** Does nothing; every molecule possesses identity

**Significance:** It is the neutral element in group theory

**Notation:** E

Every molecule is unchanged under the identity operation. This operation confirms that a molecule is present and considered in symmetry analysis.



## Rotation Axis ( $C_n$ )

**Symmetry Element:** Axis of rotation (imaginary line)

**Operation:** Rotation of  $360^\circ/n$  about the axis

**Notation:**  $C_n$  ( $n$  = order of the axis)

If a molecule looks the same after rotation by  $360^\circ/n$  about a certain axis, it has a  $C_n$  axis. The value of  $n$  denotes the **order** of the axis.

A  $C_2$  axis implies  $180^\circ$  rotation symmetry.

A  $C_3$  axis implies  $120^\circ$  rotation symmetry.

If more than one  $C_n$  axis is present, the one with the highest value of  $n$  is called the **principal axis**

## Plane of Symmetry ( $\sigma$ )

**Symmetry Element:** Mirror plan

**Operation:** Reflection across a pla

**Types of Planes:**

$\sigma_v$  (**vertical**): Contains the principal axis

$\sigma_h$  (**horizontal**): Perpendicular to the principal axis

$\sigma_d$  (**dihedral**): Bisects the angle between two  $C_2$  axes perpendicular to the principal axis

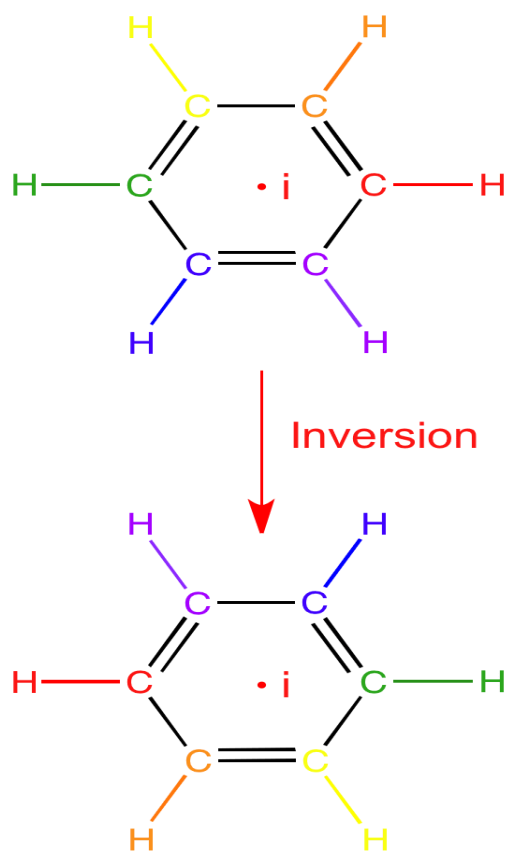
The mirror plane divides the molecule such that one half is the mirror image of the other.

### 5.1.4 Center of Inversion

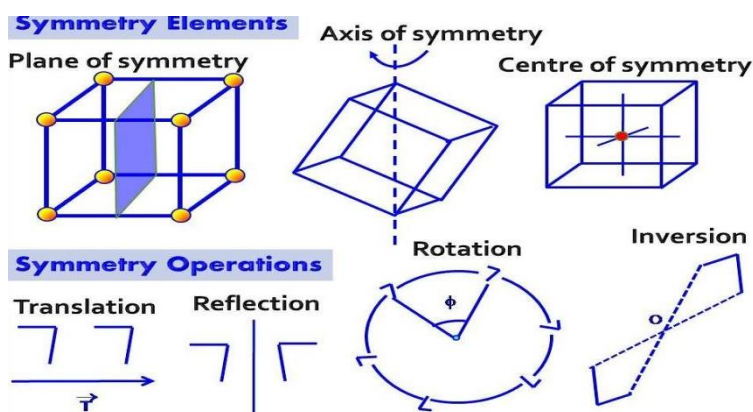


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### Inversion Centre Benzene



**Symmetry Element:** A point (usually at the molecular center)



**Operation:** Inversion through the center of symmetry

In an **inversion operation**, each point  $(x, y, z)$  is moved to  $(-x, -y, -z)$ . Molecules like benzene (in  $D_{6h}$  symmetry) and octahedral complexes have a center of inversion.



### 5.1.5 Improper Rotation ( $S_n$ )

**Symmetry Element:** Axis associated with improper rotation

**Operation:** A combination of rotation ( $C_n$ ) followed by reflection in a plane perpendicular to the axis

This operation is symbolized as  $S_n = C_n$  followed by  $\sigma_h$ .

Common improper axes

$S_1$  is equivalent to a mirror plane ( $\sigma$ )

$S_2$  is equivalent to inversion center ( $i$ )

Improper rotation is critical for identifying certain symmetries in complex molecules such as tetrahedral and octahedral systems.

### 5.1.6. Hierarchy of Symmetry Elements

The presence of certain symmetry elements implies the presence of others

If a molecule has  $S_n$ , it may also have  $C_n$  and  $\sigma$

If a molecule has a **center of inversion (i)** and  $C_2$ , it may belong to the **D** point group family

Molecules with high symmetry (e.g., **Td**, **Oh**, **Ih**) contain several elements: multiple  $C_n$  axes,  $\sigma$  planes, and possibly  $S_n$  operation

### 5.1.7. Application of Symmetry Elements

Understanding and identifying symmetry elements enables

Classification into point group

Prediction of IR and Raman active vibration



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Determination of molecular orbitals using group theory

Simplification of quantum mechanical calculations

For example

**Water ( $\text{H}_2\text{O}$ )** has  $C_2$  and two  $\sigma_v$  planes  $\rightarrow$  belongs to  $C_{2v}$

**Ammonia ( $\text{NH}_3$ )** has  $C_3$  and three  $\sigma_v$  planes  $\rightarrow$  belongs to  $C_{3v}$

**Benzene ( $\text{C}_6\text{H}_6$ )** has  $D_{6h}$  symmetry  $\rightarrow$  highly symmetric with many elements

## Summary

| Symmetry Element     | Symbol   | Operation Description             |
|----------------------|----------|-----------------------------------|
| Identity             | E        | No change                         |
| Proper rotation axis | $C_n$    | Rotation by $360^\circ/n$         |
| Mirror plane         | $\sigma$ | Reflection across a plane         |
| Center of inversion  | i        | Inversion through a central point |
| Improper rotation    | $S_n$    | Rotation followed by reflection   |

Mastering symmetry elements and operations lays the groundwork for exploring molecular symmetry, spectroscopy, and quantum chemistry. It serves as the first step in group theory analysis and is crucial in understanding the deeper structural and electronic properties of molecules.



## Unit 5.2

### Point Symmetry Groups and Character Tables and Their Application

#### 5.2.1. Introduction

Symmetry is central to understanding molecular structure, bonding, and spectroscopy. Point symmetry groups provide a structured way to classify molecules based on their symmetrical features. Using **group theory**, molecules are assigned to **point groups**, which encapsulate their symmetry elements. Accompanying each point group is a **character table**—a powerful tool summarizing how molecular orbitals, vibrations, and electronic states behave under symmetry operations. This chapter explores the principles of point groups, how character tables are constructed, and their applications in chemistry.

#### 5.2.2 Point Symmetry Groups

##### Definition

A **point group** is a collection of symmetry operations that leave at least one point in a molecule unchanged. These operations, taken together, form a **group** under the rules of group theory.

##### 5.2.2.1 Classification of Point Groups

Point groups are named using Schoenflies notation, which classifies molecules based on the symmetry elements they possess. Key families of point groups include:

##### *a. Low Symmetry Group*

$C_1$ : No symmetry other than identity (

$C_s$ : Mirror plane only ( $\sigma$ )



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$C_i$ : Inversion center only (i)

### *b. Cyclic Groups ( $C_n$ )*

Possess a single n-fold rotation axis

Example:  $C_2$ ,  $C_3$ , etc.

### *c. Cyclic Groups with Vertical Mirror Planes ( $C_{nv}$ )*

Have a principal axis ( $C_n$ ) and n vertical planes ( $\sigma_v$ ).

Example: Water ( $H_2O$ )  $\rightarrow C_{2v}$

### *d. Cyclic Groups with Horizontal Mirror Planes ( $C_{nh}$ )*

Contain  $C_n$  and one horizontal plane ( $\sigma_h$ ).

Example: Boron trifluoride ( $BF_3$ )  $\rightarrow D_{3h}$

### *e. Dihedral Groups ( $D_n$ )*

Have a  $C_n$  axis and n perpendicular  $C_2$  axes.

Example: Ethylene ( $C_2H_4$ )  $\rightarrow D_{2h}$

### *f. Spherical Groups (High Symmetry)*

**Tetrahedral ( $T_d$ ):** Methane ( $CH_4$ )

**Octahedral ( $O_h$ ):**  $SF_6$

**Icosahedral ( $I_h$ ):**  $C_{60}$  (Buckminsterfullerene)

## 5.3.1. Character Tables

### 5.3.1.1 What is a Character Table?



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A **character table** is a tabulated representation of how different functions (such as atomic orbitals or molecular vibrations) transform under the symmetry operations of a point group.

It includes

Symmetry operations as column headers

**Irreducible representations** as row headers (A, B, E, T, etc.)

**Characters** (usually numbers) showing how functions behave under each operation

Functions like **x, y, z, x<sup>2</sup>, yz, etc., showing the symmetry behavior of orbitals or coordinates**

### 3.2 Structure of a Character Table (Example: C<sub>2v</sub>)

| C <sub>2v</sub> | E | C <sub>2</sub> (z) | σ <sub>v</sub> (xz) | σ <sub>v</sub> '(yz) | Basis Functions                                     |
|-----------------|---|--------------------|---------------------|----------------------|-----------------------------------------------------|
| A <sub>1</sub>  | 1 | 1                  | 1                   | 1                    | z, x <sup>2</sup> , y <sup>2</sup> , z <sup>2</sup> |
| A <sub>2</sub>  | 1 | 1                  | -1                  | -1                   | Rz                                                  |
| B <sub>1</sub>  | 1 | -1                 | 1                   | -1                   | x, xz, Rx                                           |
| B <sub>2</sub>  | 1 | -1                 | -1                  | 1                    | y, yz, Ry                                           |

#### Components:

**E:** Identity operation

**C<sub>2</sub>(z):** 180° rotation around z-axis

**σ<sub>v</sub>(xz):** Mirror plane in xz

**σ<sub>v</sub>'(yz):** Mirror plane in yz

**Irreducible Representations:** A<sub>1</sub>, A<sub>2</sub>, B<sub>1</sub>, B<sub>2</sub>—symmetry species



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**Basis Functions:** Cartesian coordinates or orbital types transforming accordingly

### 5.4. Applications of Character Tables

Character tables are indispensable tools in quantum chemistry and spectroscopy. Their applications include:

#### 5.4.1 Determining Molecular Vibrations

Using character tables and group theory, we can

**Count the number of vibrational mode**

Determine which vibrations are **IR active** or **Raman active**

Predict spectral activity and symmetry of normal modes

**Example:** In water ( $C_{2v}$ ), vibrational analysis using the character table identifies:

3 fundamental vibrations

Symmetries: 2  $A_1$  (symmetric stretch, bend) and 1  $B_2$  (asymmetric stretch)

#### 5.4.2 Symmetry and Molecular Orbitals (SALCs)

Symmetry-adapted linear combinations (SALCs) of atomic orbitals are derived using group theory:

Character tables help construct SALCs matching the symmetry of the molecule.

Only orbitals with the same symmetry can interact (constructively interfere) to form bonding/antibonding MOs.

#### 5.4.3 Predicting IR and Raman Activity



**IR activity:** A vibration is IR active if it transforms like **x, y, or z** (i.e., it changes dipole moment).

**Raman activity:** A vibration is Raman active if it transforms like **quadratic functions** (e.g.,  $x^2$ ,  $yz$ )

These are identified from the last column of the character table.

#### 4.4 Selection Rules in Electronic Transitions

Electronic transitions are governed by symmetry-based selection rules:

Transitions are allowed only if the **direct product** of the ground state, excited state, and the electric dipole operator contains the **totally symmetric representation**.

Character tables help determine these direct products.

| Concept                    | Explanation                                                                  |
|----------------------------|------------------------------------------------------------------------------|
| Point Group                | Classification of a molecule based on symmetry elements                      |
| Character Table            | Table showing how functions/representations behave under symmetry operations |
| Irreducible Representation | Fundamental symmetry types that cannot be further simplified                 |
| Vibrational Modes          | Deduced from group theory and character tables                               |
| IR/Raman Activity          | Determined by comparing basis functions to vibrational representations       |

### Summary

Point symmetry groups and character tables are fundamental to theoretical and computational chemistry. They not only classify molecules but also



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simplify complex calculations regarding bonding, spectroscopy, and electronic structure. Mastery of symmetry operations, point group assignment, and interpretation of character tables provides profound insight into the behavior and properties of molecules.

### Summary: Symmetry and Group Theory

1. Symmetry and Group Theory are fundamental concepts in chemistry and physics used to describe the invariance of molecules and physical systems under certain operations. **Symmetry elements** (like planes, axes, and centers) and **symmetry operations** (such as rotation, reflection, and inversion) classify molecules into **point groups**. Group theory uses mathematical frameworks to analyze these symmetries, providing tools to predict molecular vibrations, orbital interactions, and spectroscopic transitions. It helps determine **IR/Raman activity**, **optical activity**, and aids in **molecular orbital theory** through the use of **character**

Here are **MCQs on Symmetry and Group Theory** with answers:

### MCQs: Symmetry and Group Theory

**1. Which of the following is a symmetry operation?**

- A. Bond formation
- B. Reflection through a plane
- C. Boiling
- D. Isomerization

**Answer:** B. Reflection through a plane

**2. A molecule with a  $C_3$  axis of rotation can be rotated by what angle to coincide with itself?**

- A.  $90^\circ$
- B.  $120^\circ$
- C.  $60^\circ$



D.  $180^\circ$

**Answer:** B.  $120^\circ$

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**3. The number of symmetry operations in a point group forms a:**

- A. Mole
- B. Reaction mechanism
- C. Mathematical group
- D. Resonance structure

**Answer:** C. Mathematical group

**4. Which of the following is *not* a symmetry element?**

- A. Identity (E)
- B. Plane of symmetry ( $\sigma$ )
- C. Center of mass
- D. Center of inversion (i)

**Answer:** C. Center of mass

**5. What is the principal axis in a molecule?**

- A. The axis perpendicular to all others
- B. The axis with the lowest order of rotation
- C. The axis with the highest order of rotation
- D. The vertical mirror plane

**Answer:** C. The axis with the highest order of rotation

**6. Which point group does the water ( $\text{H}_2\text{O}$ ) molecule belong to?**

- A.  $\text{C}_{3v}$
- B.  $\text{D}_{2h}$
- C.  $\text{C}_{2v}$
- D.  $\text{Td}$

**Answer:** C.  $\text{C}_{2v}$

**7. In group theory, the identity operation (E) means:**

- A. Rotation by  $90^\circ$



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- B. Inversion through a point
- C. No change at all
- D. Reflection through a plane

**Answer:** C. No change at all

### **8.Character tables are used to determine:**

- A. Atomic weights
- B. Symmetry of molecular orbitals and vibrations
- C. Boiling points
- D. Isotopic abundance

**Answer:** B. Symmetry of molecular orbitals and vibrations

### **9.A molecule with tetrahedral geometry (like CH<sub>4</sub>) belongs to which point group?**

- A. C<sub>4v</sub>
- B. D<sub>3h</sub>
- C. Td
- D. Oh

**Answer:** C. Td

### **10.Which of the following operations is present in all point groups?**

- A. Rotation (C<sub>2</sub>)
- B. Inversion (i)
- C. Mirror plane (σ)
- D. Identity (E)

**Answer:** D. Identity (E)

### **Short Answer Questions**

1. What is the fundamental principle of <sup>13</sup>C-NMR spectroscopy?
2. How does <sup>13</sup>C-NMR chemical shift vary for aliphatic and aromatic carbons?
3. What is the purpose of the DEPT technique in NMR spectroscopy?
4. How does NQR spectroscopy differ from NMR spectroscopy?



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5. What is the role of hyperfine coupling in ESR spectroscopy?
6. Why is the g-factor important in ESR spectroscopy?
7. Name one common application of NQR spectroscopy in material science.
8. How does the spin-orbit coupling affect ESR spectra?
9. What is the significance of quadrupole moment in NQR spectroscopy?
10. Explain the importance of carbonyl carbon shifts in  $^{13}\text{C}$ -NMR spectroscopy.

### Long Answer Questions

1. Explain the principle of  $^{13}\text{C}$ -NMR spectroscopy. Discuss the role of chemical shifts and factors influencing them.
2. Describe the various two-dimensional NMR techniques, including COSY, NOESY, DEPT, APT, and INADEQUATE, along with their applications.
3. Compare and contrast Nuclear Quadrupole Resonance (NQR) and Nuclear Magnetic Resonance (NMR) spectroscopy.
4. What are coupling constants in  $^{13}\text{C}$ -NMR? How do they help in structural elucidation?
5. Discuss the significance of hyperfine coupling in ESR spectroscopy and provide examples where it plays a crucial role.
6. Explain the concept of spin-orbit coupling and its impact on ESR spectra of transition metal complexes.
7. How does electric field gradient affect NQR spectra? Provide examples of compounds where this effect is significant.
8. Discuss the applications of ESR spectroscopy in the study of biological systems and inorganic free radicals.
9. What is the role of quadrupole moments in NQR spectroscopy? How does this property influence spectral splitting?
10. Describe the chemical shift trends in  $^{13}\text{C}$ -NMR spectroscopy for different functional groups (aliphatic, olefinic, aromatic, carbonyl, etc.) and explain the underlying electronic effects.



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### Multiple Choice Questions (MCQs)

1. Which of the following is NOT a symmetry element?
  - a) Identity ( $E$ )
  - b) Reflection plane ( $\sigma$ )
  - c) Screw axis ( $S_n$ )
  - d) Dipole moment
2. Which symmetry operation involves rotation of a molecule by  $360^\circ/n$  around an axis?
  - a) Reflection ( $\sigma$ )
  - b) Rotation ( $C_n$ )
  - c) Inversion ( $i$ )
  - d) Improper rotation ( $S_n$ )
3. The total number of symmetry operations in a molecule is called:
  - a) Symmetry order
  - b) Group order
  - c) Character order
  - d) Molecular rank
4. Which point group represents a linear molecule like  $\text{CO}_2$ ?
  - a)  $C_{2v}$
  - b)  $D_{\infty h}$
  - c)  $T_d$
  - d)  $O_h$
5. The Schönflies symbol for a molecule with a  $C_3$  axis and three perpendicular  $C_2$  axes is:
  - a)  $C_{3v}$
  - b)  $D_{3h}$
  - c)  $D_3$
  - d)  $T_d$
6. Which of the following is a property of a mathematical group in Group Theory?
  - a) Closure
  - b) Associativity
  - c) Identity element



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- d) All of the above
7. **The relation between the order of a group (h) and the order of its subgroup (h') is given by:**
- a)  $h = 2h'$
  - b)  $h' = h^2$
  - c)  $h'$  is a divisor of  $h$
  - d)  $h = h'^3$
8. **The character table provides information about:**
- a) Symmetry operations of a point group
  - b) Energy levels of an atom
  - c) Crystal field splitting
  - d) Hybridization of orbitals
9. **Which of the following is an application of Group Theory in spectroscopy?**
- a) Identifying vibrational modes
  - b) Determining molecular stability
  - c) Analyzing electronic transitions
  - d) All of the above
10. **Which of the following symmetry groups corresponds to a tetrahedral molecule?**
- a)  $C_{2v}$
  - b)  $T_d$
  - c)  $O_h$
  - d)  $D_{4h}$

### Short Answer Questions

1. Define symmetry elements and give two examples.
2. What is a symmetry operation, and how does it relate to symmetry elements?
3. What is a point group, and why is it useful in molecular symmetry?
4. Differentiate between  $C_n$  and  $S_n$  operations in symmetry.
5. How are Schönflies symbols used to classify molecular symmetry?
6. What are conjugacy classes in Group Theory?
7. Explain the significance of character tables in molecular symmetry.



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8. How does Group Theory help in predicting IR and Raman active modes in spectroscopy?
9. What is the relation between group order and subgroup order?
10. Name a molecule belonging to the  $O_h$  point group and describe its symmetry.

### Long Answer Questions

1. Explain the concept of symmetry elements and symmetry operations with suitable examples.
2. Discuss the importance of point groups in molecular symmetry and explain how to determine the point group of a given molecule.
3. Describe the different types of symmetry operations ( $E$ ,  $C_n$ ,  $\sigma$ ,  $i$ ,  $S_n$ ) and provide examples for each.
4. Explain the mathematical concept of a group and a subgroup in the context of symmetry.
5. Discuss the Schönflies symbols and explain their representation with examples.
6. Describe how matrix representation of symmetry operations is useful in Group Theory.
7. Explain the structure and interpretation of character tables in symmetry analysis.
8. Describe how character tables are applied in vibrational spectroscopy and molecular orbital theory.
9. Discuss the role of Group Theory in quantum chemistry and molecular orbital classification.
10. Explain the importance of molecular symmetry in predicting chemical and physical properties.

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